



# Ethics in Public Health Intervention Trials



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*NIMR Tanzania*  
*[www.nimr.or.tz](http://www.nimr.or.tz)*

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# Outline



- **Background**
- **Clinical development**
- **Major Public Health Interventions**
- **Challenges with Consenting Process**
- **Using placebo vs known working**
- **Examples from Tanzania; mainly malaria**



# Background



- **Great strides made in the area of ethics following past bad experiences**
- **Product developers (vaccines and drugs) are very meticulous about provision of information and actual consenting**
- **Major concern seems to be protection of the sponsor as evidenced by lengthy consenting**
- **Role of ethical research in R&D**

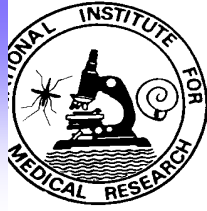


# Clinical Development

- **Phase I: uses volunteers – seeks to establish safety, tolerance and PK**
- **Phase IIA: in patients – seeks to confirm safety and tolerance and establish efficacy (and PK)**
- **Phase IIB: in patients - determines dose regimen, efficacy, safety & immunogenicity**
- **Phase III: in patients – establishes efficacy and safety of the chosen dosing regimen in larger numbers or patients**



# Major Tools



- **Vaccines & Drugs: Safety, efficacy, PK, availability/cost, compliance**
- **ITNs: Despite known benefit, affordability, compliance, safety issues with LLNs and final disposal come up**
- **Insecticides and Biological control: Safety and acceptability**
- **Challenges: Communication with community before, during and after research**
- **Conflicting priorities; crops destroyed by**



# **Phase I Clinical Trials: Difficult to explain but easy to conduct**

- **First in humans testing**
- **Small number of healthy volunteers**
- **Evaluate safety and side effects**
- **Early evidence of immune response**



# Example



- **MSP3 phase Ib malaria vaccine trial in children in Korogwe (AMANET). Vaccine safe and immunogenic. *Lusingu JP et al. Malar J. 2009 Jul 17;8:163.***
- ***Major challenge was explaining why during community sensitization said were going to give a vaccine that was still trial (“Chanjo ya majaribio”)***
- ***Had to go back with district authorities to explain what vaccine candidate means***



# Phase II Clinical Trials

- **Efficacy of vaccine/drug**
- **Study of dose range**
- **Establish clinical performance**
- **Expand the safety database**
- **Immunogenicity**





# Example



- **Phase IIb RTS,S malaria vaccine trial (Mal-49) in children at Korogwe and Kilifi (PATH-MVI). Safe, immunogenic and 53% efficacy. *Bejon P et al. N Engl J Med. 2008 Dec 11;359(24):2521-32.***
- **Challenge was to explain why control arm was given rabies vaccine. Placebo no longer acceptable.**
- **At end of trial, vaccine arm received rabies vaccine**



# Phase III Clinical Trials

- **Large groups of people (thousands)**
- **Gather extended safety and tolerability data in subject**
- **Collect efficacy data along with health economics information**
- **Demonstrate consistency of manufacturing process**



# Example



- **RTS,S phase 3 malaria vaccine trial in children:** Currently running in Korogwe which is one among 11 sites in Africa. Data from first 6000 children published in 2011 showed 56% protection against malaria disease and 47% against severe malaria (*Agnandji ST and RTS,S Clinical Trials Partnership. N Engl J Med. 2011 Nov 17;365(20):1863-75.*)
- **Challenge: Huge information package and informed consent for study participants**
- **ITNs were accepted by sponsor as standard of care as per national guidelines**
- **Question during dissemination of results: When, where, who, safety, cost?**



# Registration

Phase III Clinical Trials may lead to

**Regulatory Approval**

**This is our hope when we  
conduct clinical trials**



# Phase IV and indication discovery

- **Additional studies in adults** to deal with specific country regulatory requirements
- **Paediatric studies** – useful in all infectious diseases since the disease immune status of and the PK of drugs in small children, and especially in infants, are not the same as in adults; such populations – may need a different formulation (***AQUAMAT study observed lower artesunate and DHA exposure in smaller children- Hendriksen I et al., submitted***)
- **Studies in pregnant women** – the most neglected patient population because of concerns about teratogenicity and litigation
- **Prophylactic studies** – important in diseases such as malaria where no vaccine available
- **Phase IV PV** : Post-marketing surveillance; Korogwe will participate in such study in prep for RTS,S licensure



# Drug Trials1: AQUAMAT



- A multi-centre open label randomised comparison of injectable Artesunate and quinine in patients with severe falciparum malaria in Africa (**AQUAMAT**): Sponsor: Univ of Oxford, Wellcome Trust
  - Major findings influenced policy on treatment of severe malaria (*Dondorp AM. et al. Lancet. 2010 Nov 13;376 (9753):1647-57*).
  - WHO recommendation in April 2011 for injectable artesunate to be used in parallel with quinine for severe malaria
  - **Challenge: Giving ART vs QN (known standard); Mortality and neurological sequale end points**



## Drug Trials-2: Kili-IPTi



- Between 2006 and 2008, a trial of IPTi using SP, chlorproguanil-dapsone (CD) or mefloquine (MQ) was conducted in Same and Korogwe, NE Tanzania.
- IPTi using a long acting, efficacious drug such as MQ can reduce episodes of malaria in infants in a moderate transmission setting **but tolerability problem** (*Gosling RD, Lancet. 2009 Oct 31;374(9700):1521-32.*)
- **Challenge was stopping recruitment in Same where malaria had declined drastically- Approval was given**
- WHO issued a policy recommendation on use of SP-IPTi strategy in areas without SP resistance.



# HIV Research



- In a PMTCT trial in Tanga looking at cART to prevent ARV resistance, stigma was a major issue (*Theilgaard ZP et al. AIDS Res Ther. 2011 Aug 2;8(1):28. PMID: 21810224*).
- Referral success in the same PMTCT was low (*Anne Arreskov et al. International Health. Online 29 January 2010*).
- In an ancillary study linked to InterACT project at Teule, looking at interactions between ARVs and Alu, several lab tests and frequent contact with project staff was seen as providing a service better than routine hospital care (*Reynolds J et al. Submitted*).





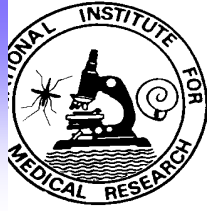
# HIV Research-cont



- Another ancillary study within InterACT project noted a difference in ways that Tanzanians report harms data compared to South Africans (*Allen EN Eliciting harms data from trial participants, Trials. 2011 Dec 13;12 Suppl 1:A10.*
- *Mangesho P et al (Abstract ACTc 2012 AGM),* **observed** perceptions of drug strength compelled people with HIV and malaria to separate drug taking time to avoid anticipated harm to the body and to facilitate daily activities



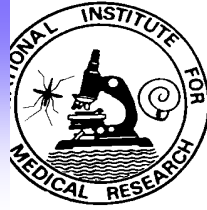
# Epidemiology: Community Surveys



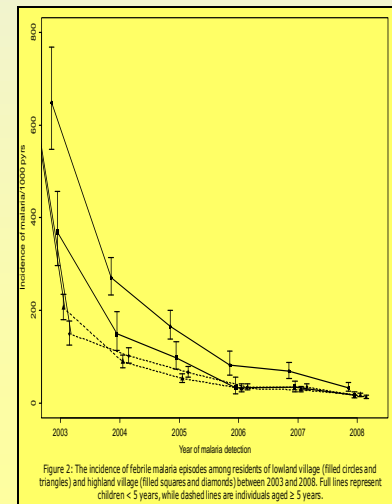
- Community sensitization
- Consenting
- Challenge with re-consenting, one year later, for those <18 years by parents and not guardians. Requirement by sponsor



# Early diagnosis and treatment of malaria in 4 villages (CORPs strategy)

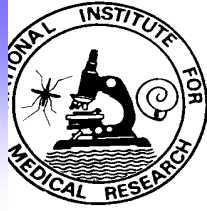


- Data to document declining malaria & for M&E of interventions and MDGs
- *Mmbando BP et al. Malar J. 2010 Jul 23;9(1):216.*
- RDTs reduced malaria overdiagnosis.  
*Ishengoma DS. et al. Malar J. 2011 Jun 26;10(1):176.*
- Use of Community owned resource persons (CORPs)  
*Rutta AS et al. Malar J. 2012 May 3;11(1):152.*





# Community Sensitization

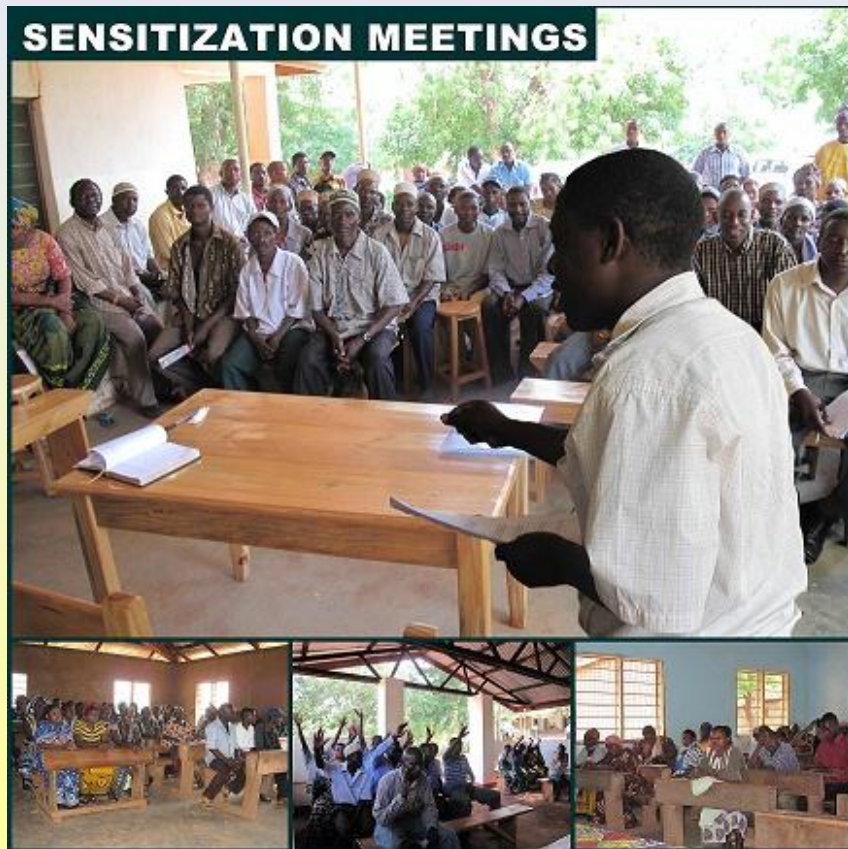
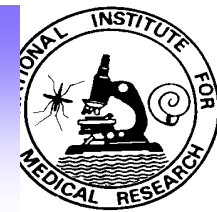


- **Community sensitization meeting for a malaria vaccine trial**





# Malaria Vaccine Trial in Korogwe





# Vaccination Centre



- Mothers taking children to be vaccinated in a phase IIb malaria vaccine trial in Korogwe



# Monitoring efficacy of antimalarials at sentinel sites

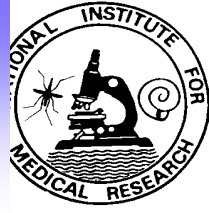


- **NIMR Tanga participates in sentinel site monitoring of efficacy of antimalarials**
- **Has worked closely with other institutions to provide data to the NCMP for reviewing antimalarial drug policy**
- **Challenge now is to delivery more comprehensive informed consent at HF level where support teams are used to routine case management; and malaria burden is declining**





# Lab facilities to support clinical and biomedical research at Korogwe and Tanga







# End Thank You



- For more info about NIMR Tanga visit:
- [www.nimr.or.tz](http://www.nimr.or.tz)
- [www.nimrtanga.org](http://www.nimrtanga.org)
- Also [www.mimmalaria.org](http://www.mimmalaria.org)