

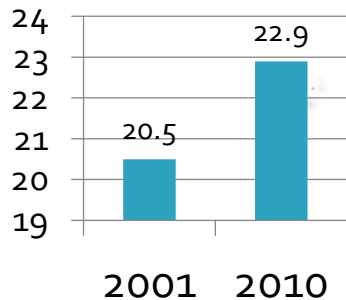
VLAD NOVITSKY

# **HIV-1 Viral Load and Viral Linkage in Treatment-as-Prevention Studies**

# HIV-1 Prevalence in Africa

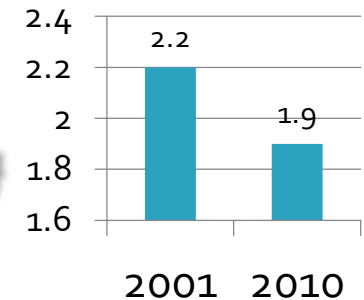
## SUB-SAHARAN AFRICA

People living with HIV, mln

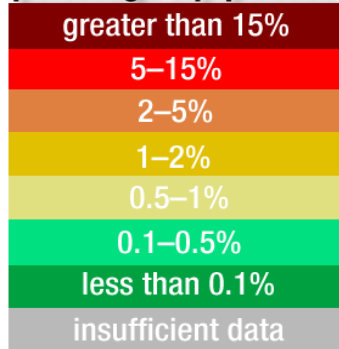


## SUB-SAHARAN AFRICA

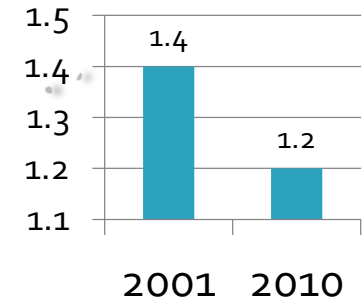
New HIV infections, mln



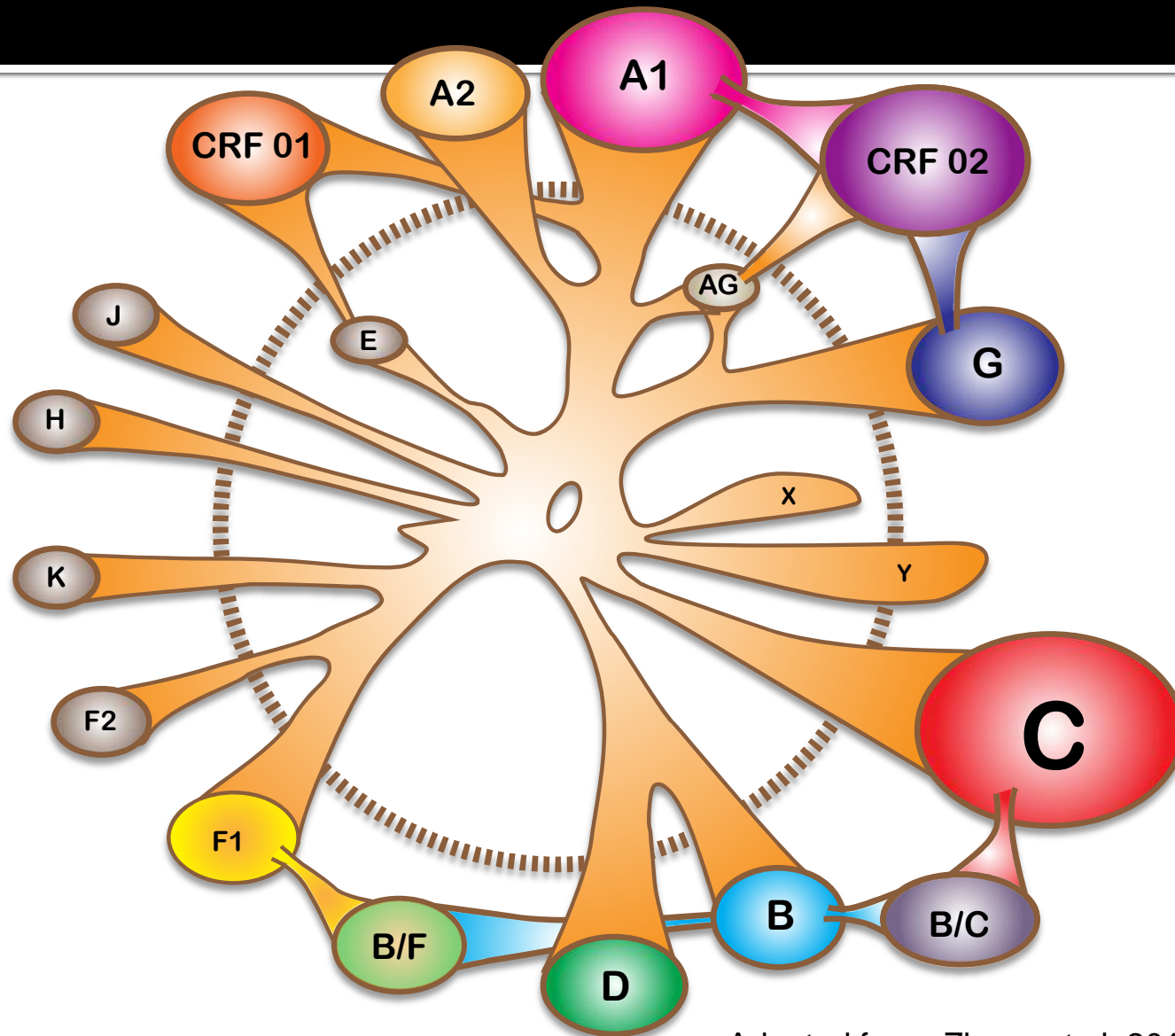
Adult HIV prevalence as a percentage of population



Death due to AIDS, mln



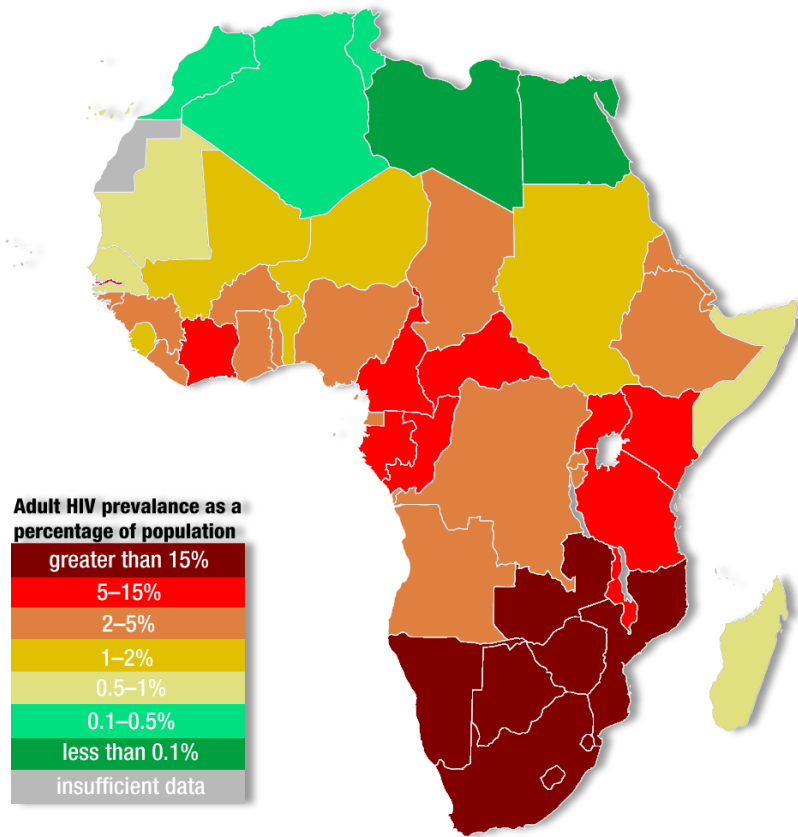
# HIV-1 Subtypes: Current Epidemic



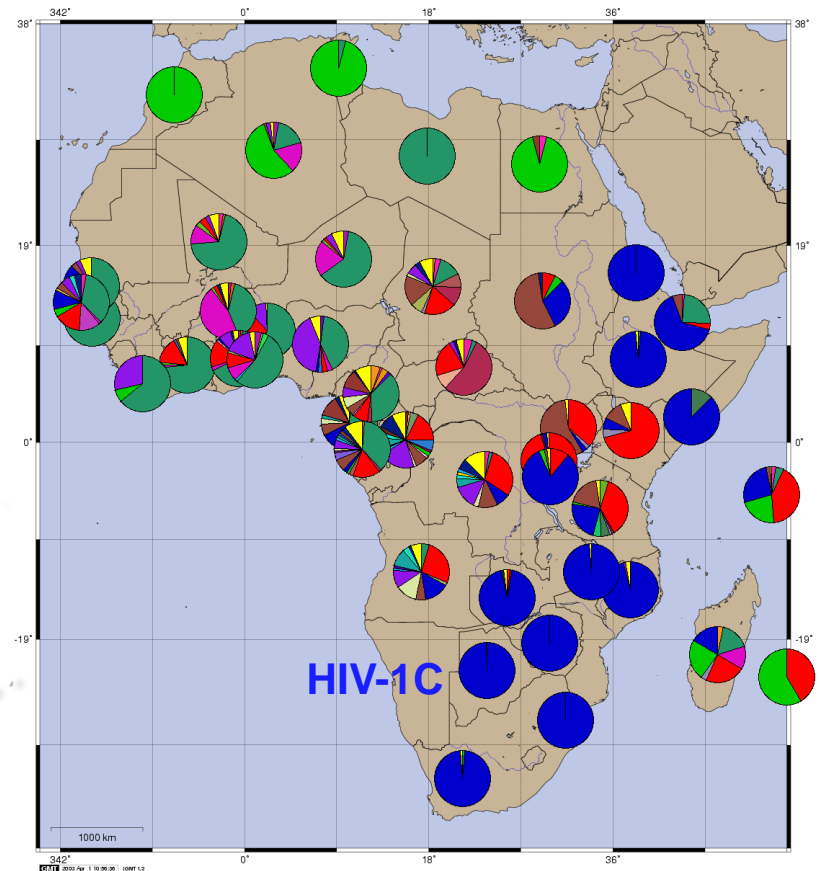
Adapted from: Zhang et al. 2010 *Retrovirology* 7:25

# HIV-1 in Africa

## HIV-1 Prevalence



## HIV-1 Subtypes



# Viral Linkage & Viral Load in TasP

## ART for HIV-1 Prevention

```
graph TD; A[ART for HIV-1 Prevention] --- B[HIV-negative individuals:  
Pre- & Post-Exposure Prophylaxis]; A --- C[HIV-positive individuals:  
Treatment as Prevention (TasP)];
```

HIV-negative individuals:  
**Pre- & Post-Exposure Prophylaxis**

HIV-positive individuals:  
**Treatment as Prevention (TasP)**

# Viral Linkage & Viral Load in TasP

- **HIV-1 RNA load** is a marker of viral transmission. ART suppresses HIV replication.
- **High vireemics**: a subset of HIV-infected individuals with high HIV-1 RNA load.
- **HIV-1 genotyping in TasP:**
  - Viral linkage: linked vs. unlinked cases;
  - High vireemics disproportionately contribute to HIV incidence;
  - Extent of mixing between communities.

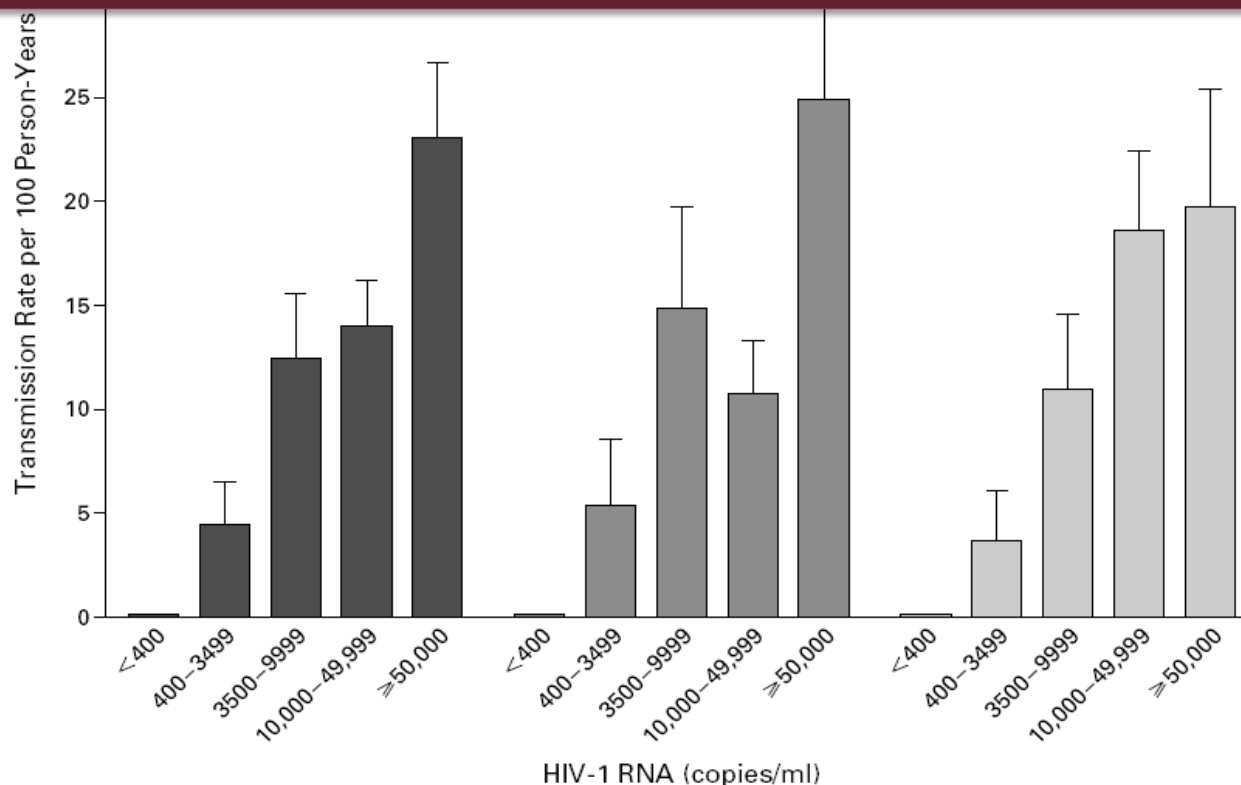
# VIRAL LOAD AND HETEROSEXUAL TRANSMISSION OF HUMAN IMMUNODEFICIENCY VIRUS TYPE 1

THOMAS C. QUINN, M.D., MARIA J. WAWER, M.D., NELSON SEWANKAMBO, M.B., DAVID SERWADDA, M.B.,  
CHUANJUN LI, M.D., FRED WABWIRE-MANGEN, PH.D., MARY O. MEEHAN, B.S., THOMAS LUTALO, M.A.,  
AND RONALD H. GRAY, M.D., FOR THE RAKAI PROJECT STUDY GROUP

NEJM, 2000

**Viral load is the chief predictor of the risk of heterosexual transmission of HIV-1.**

**Transmission is rare if viral RNA levels are lower than 1,500 copies/ml.**



# *The* NEW ENGLAND JOURNAL *of* MEDICINE

ESTABLISHED IN 1812

AUGUST 11, 2011

VOL. 365 NO. 6

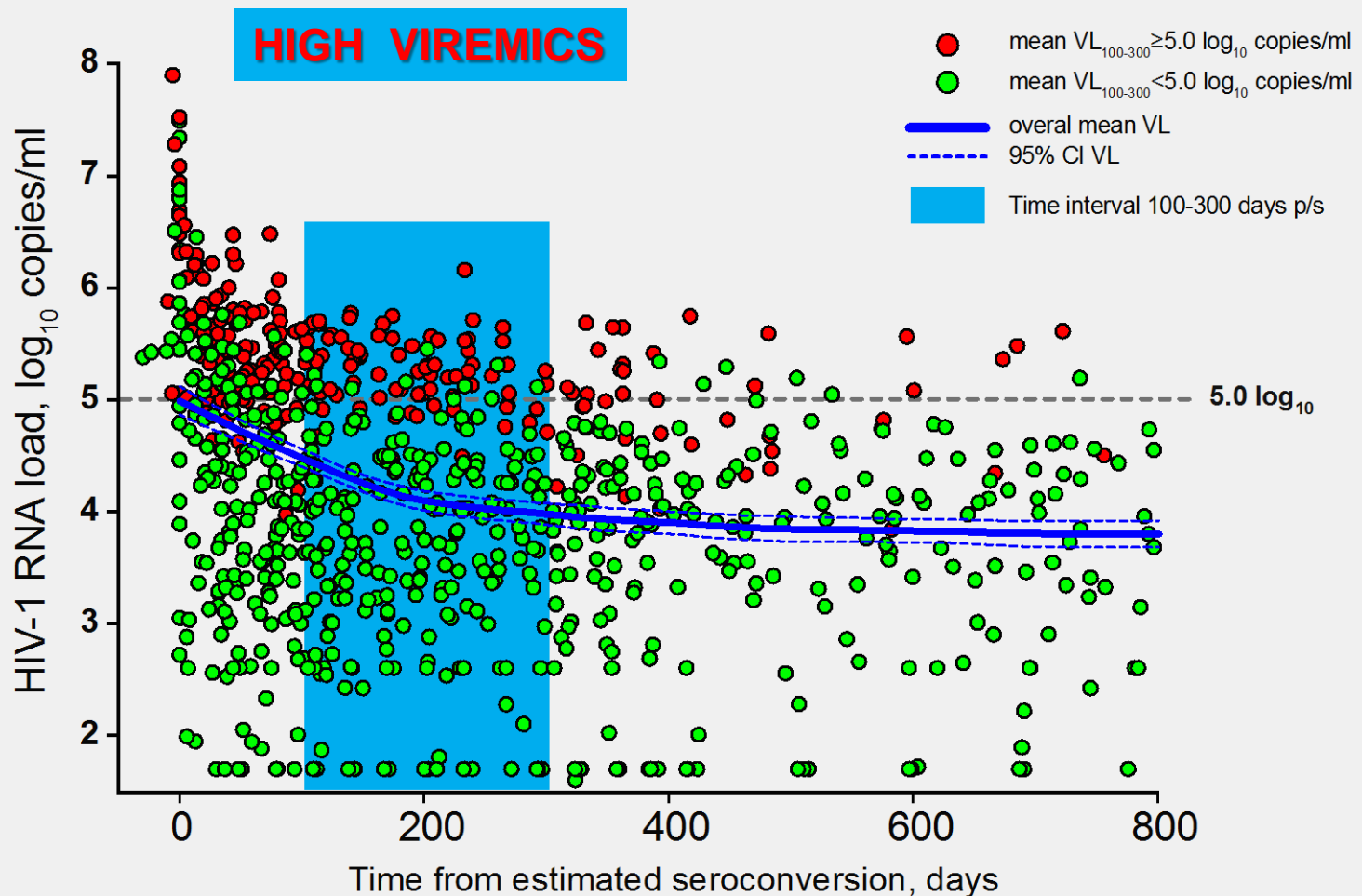
## Prevention of HIV-1 Infection with Early Antiretroviral Therapy

- **39** HIV-1 transmissions were observed
  - **11** unlinked
  - **28** linked to the infected partner
    - Immediate ART: **1** HIV transmission
    - Deferred ART: **27** HIV transmissions

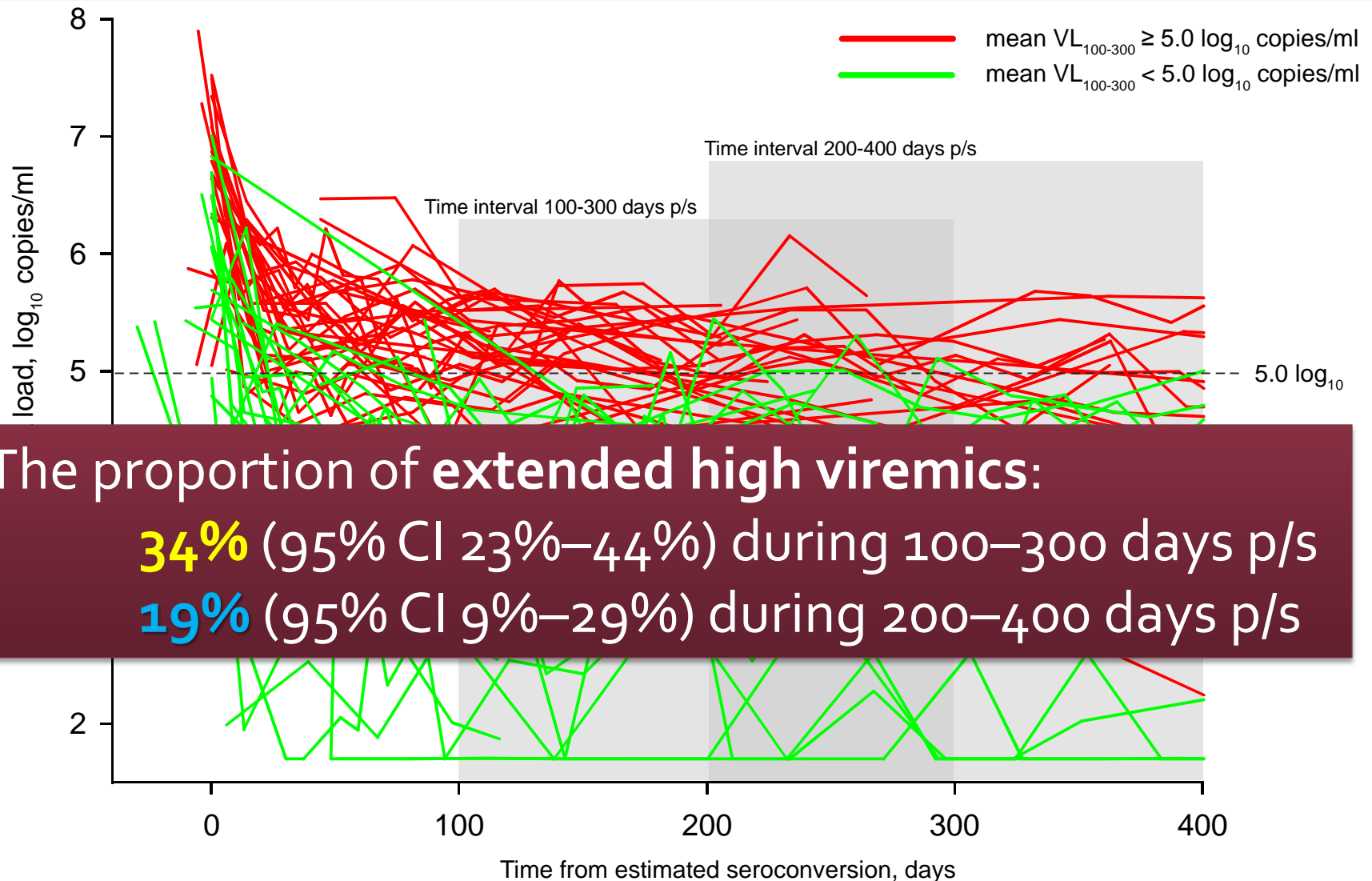
**96% reduction of HIV transmission due to early ART**



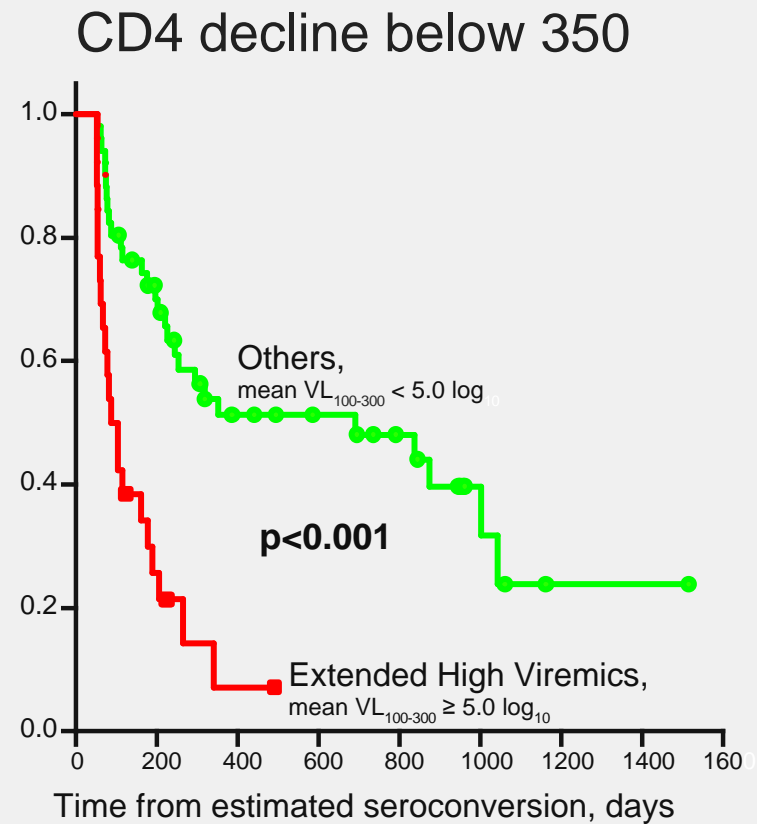
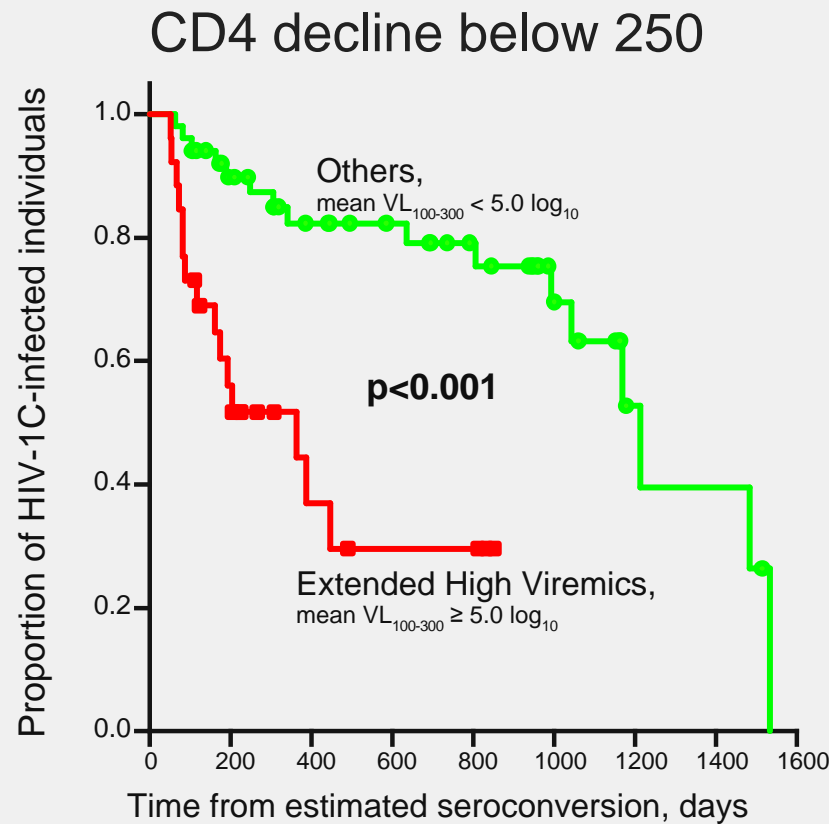
# HIV-1 RNA load in primary HIV-1C (pre-ART)



# HIV-1 RNA load in primary HIV-1C (pre-ART)



# Rapid decline of CD4+ T cells



Others: 51	39	30	26	21	12	4	3		51	31	19	16	12	5	1	1
Ext. High Viremics: 26	13	5	3	3	0	0	0		26	6	1	1	1	1	1	1

# HIV-1 Subtype C-Infected Individuals Maintaining High Viral Load as Potential Targets for the “Test-and-Treat” Approach to Reduce HIV Transmission

Vladimir Novitsky<sup>1,2</sup>, Rui Wang<sup>3</sup>, Hermann Bussmann<sup>1,2</sup>, Shahin Lockman<sup>1,2</sup>, Marianna Baum<sup>4</sup>, Roger Shapiro<sup>1,2</sup>, Ibou Thior<sup>1,2</sup>, Carolyn Wester<sup>1,2</sup>, C. William Wester<sup>1,2,7</sup>, Anthony Ogwu<sup>1,2</sup>, Aida Asmelash<sup>1,2</sup>, Rosemary Musonda<sup>1,2</sup>, Adriana Campa<sup>4</sup>, Sikhulile Moyo<sup>2</sup>, Erik van Widenfelt<sup>2</sup>, Madisa Mine<sup>2</sup>, Claire Moffat<sup>1,2</sup>, Mompoti Mmalane<sup>2</sup>, Joseph Makhema<sup>1,2</sup>, Richard Marlink<sup>1,2</sup>, Peter Gilbert<sup>5</sup>, George R. Seage, III<sup>6</sup>, Victor DeGruttola<sup>3</sup>, M. Essex<sup>1,2\*</sup>

## Extended high vireemics: a substantial fraction of individuals maintain high plasma viral RNA levels after acute HIV-1 subtype C infection

Vladimir Novitsky<sup>a,b</sup>, Thumbi Ndung'u<sup>c,d</sup>, Rui Wang<sup>a</sup>, Hermann Bussmann<sup>a,b</sup>, Fundisiwe Chonco<sup>c</sup>, Joseph Makhema<sup>a,b</sup>, Victor De Gruttola<sup>a</sup>, Bruce D. Walker<sup>d,e,f</sup> and M. Essex<sup>a,b</sup>

*AIDS* 2011, **25**:1515–1522



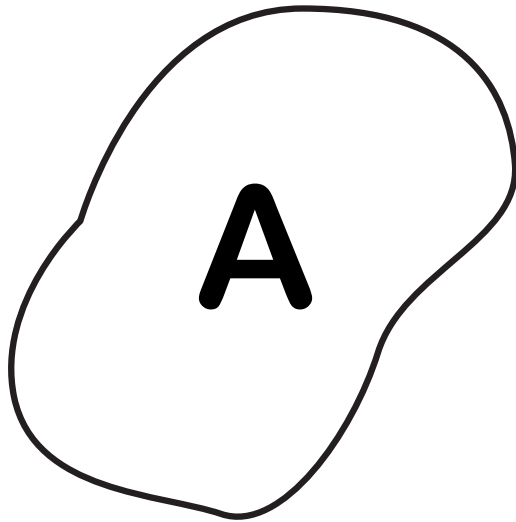
## Using HIV viral load to guide treatment-for-prevention interventions

**Curr Opin HIV AIDS** 2012, **7**:117–124

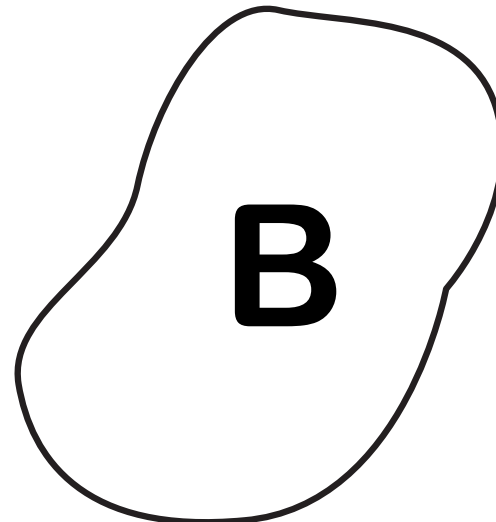
REVIEW

*Vladimir Novitsky and Max Essex*

# HIV-1 Transmission: Viral Linkage



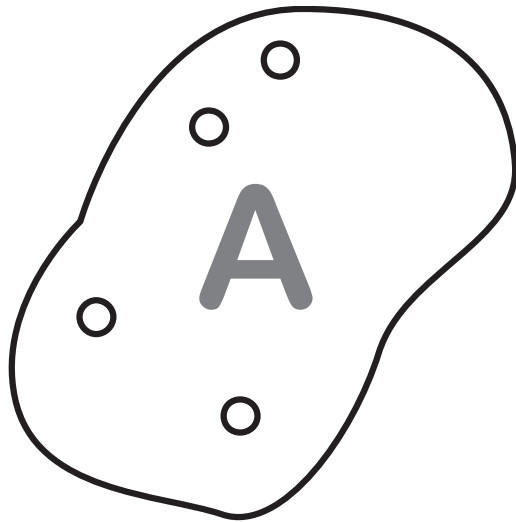
Control community



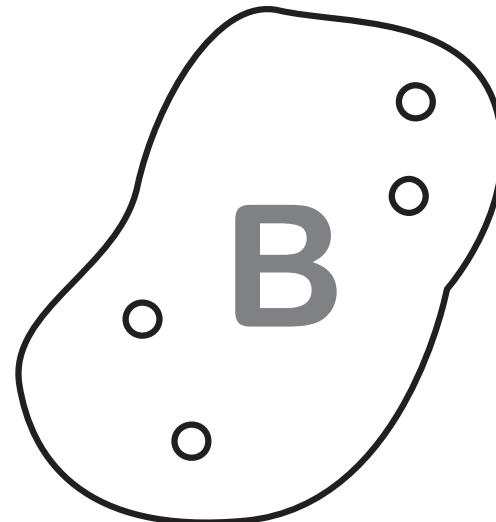
Intervention community

# HIV-1 Transmission: Viral Linkage

○ Incident HIV cases

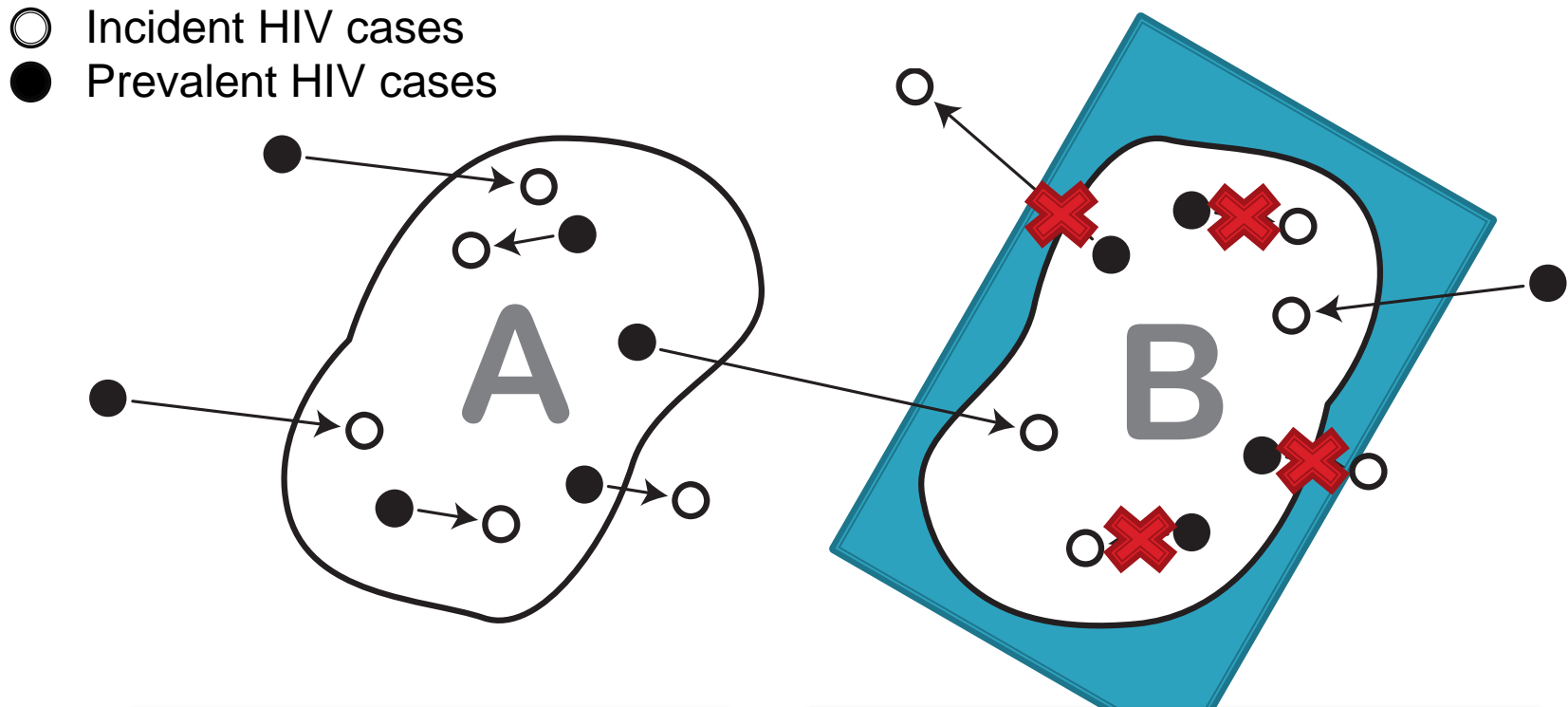


Control community



Intervention community

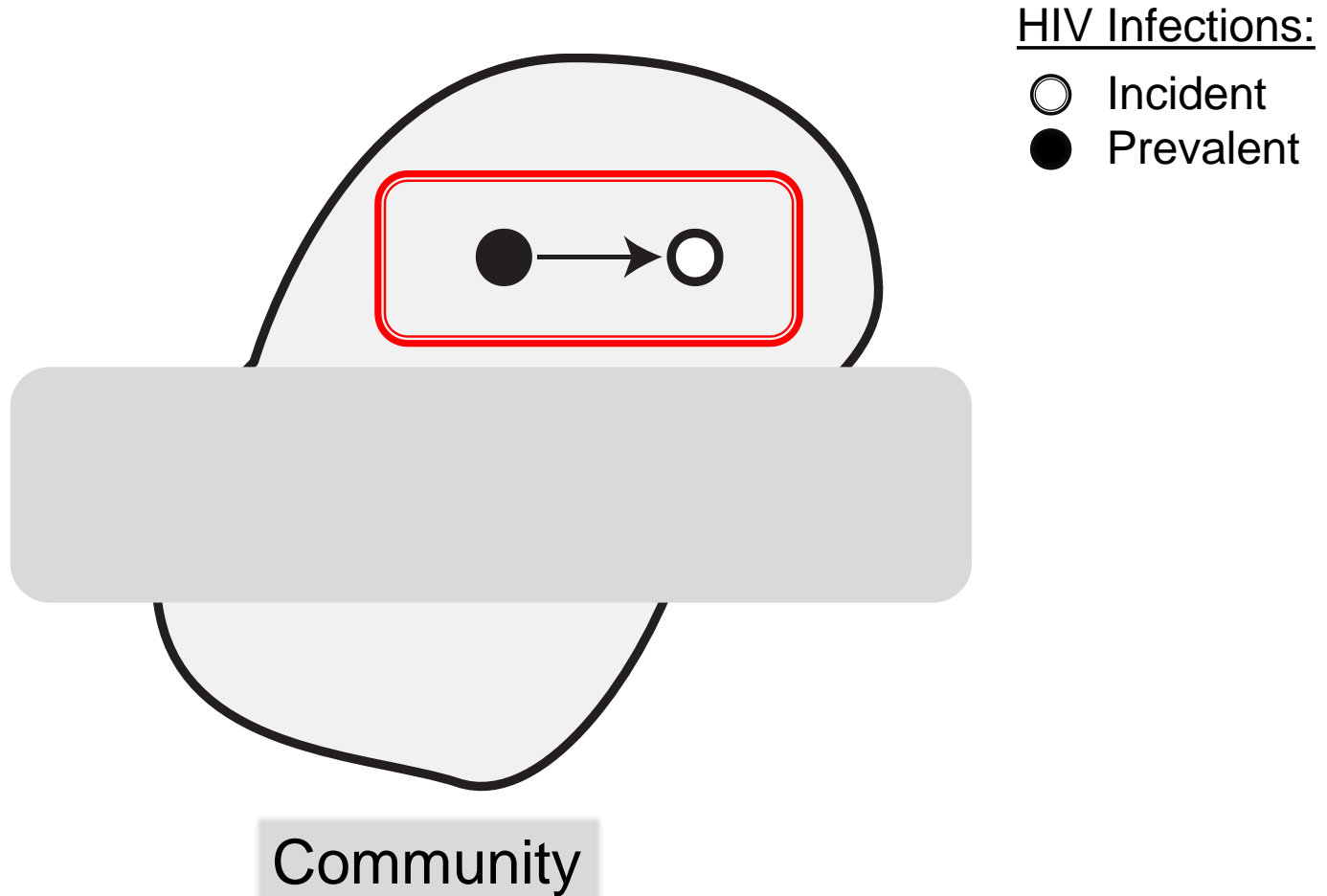
# HIV-1 Transmission: Viral Linkage



## Viral linkage:

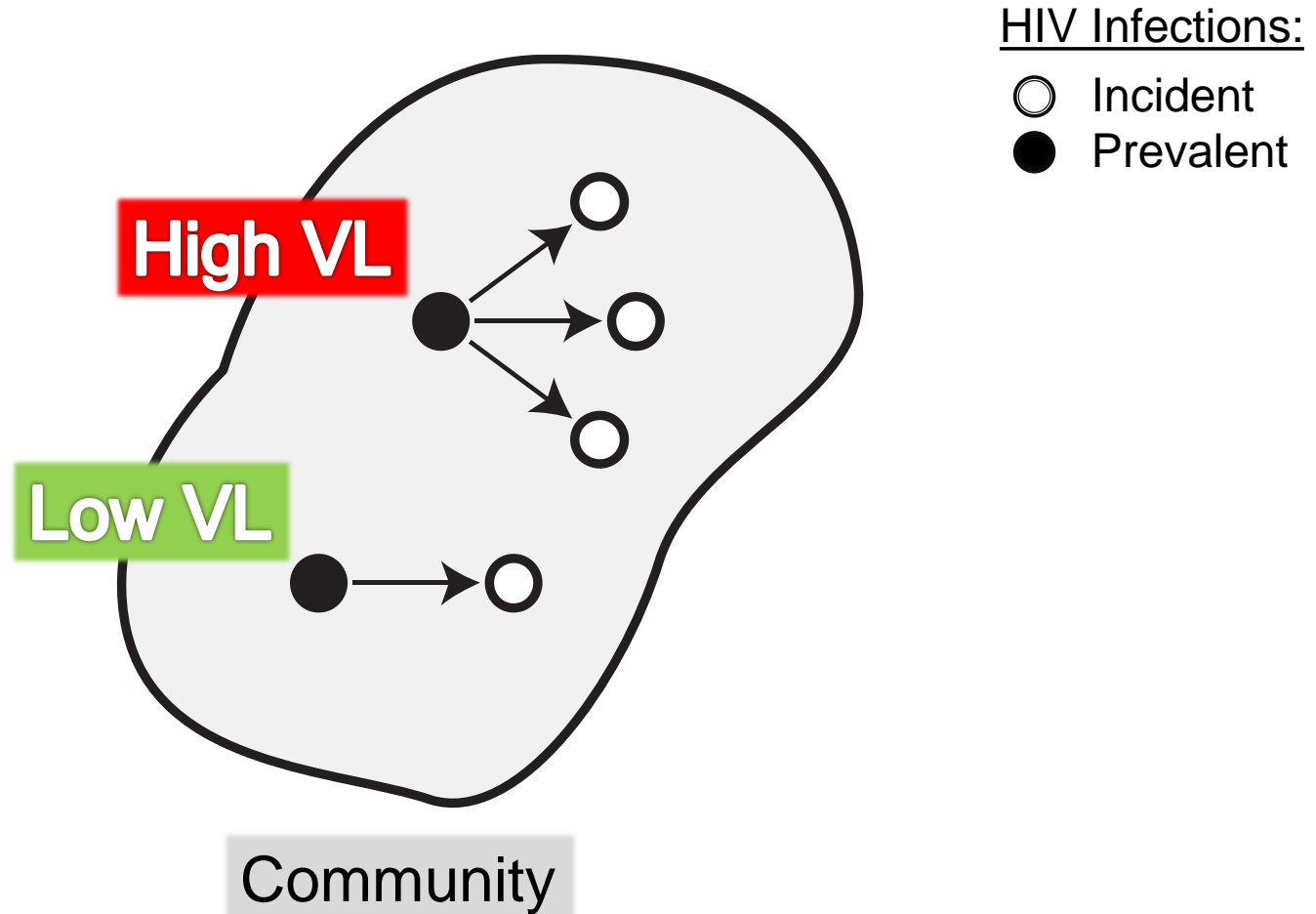
- To identify the source (within vs. outside) of HIV transmission
- **To refine estimates of HIV incidence**

# HIV-1 Transmission: Viral Linkage

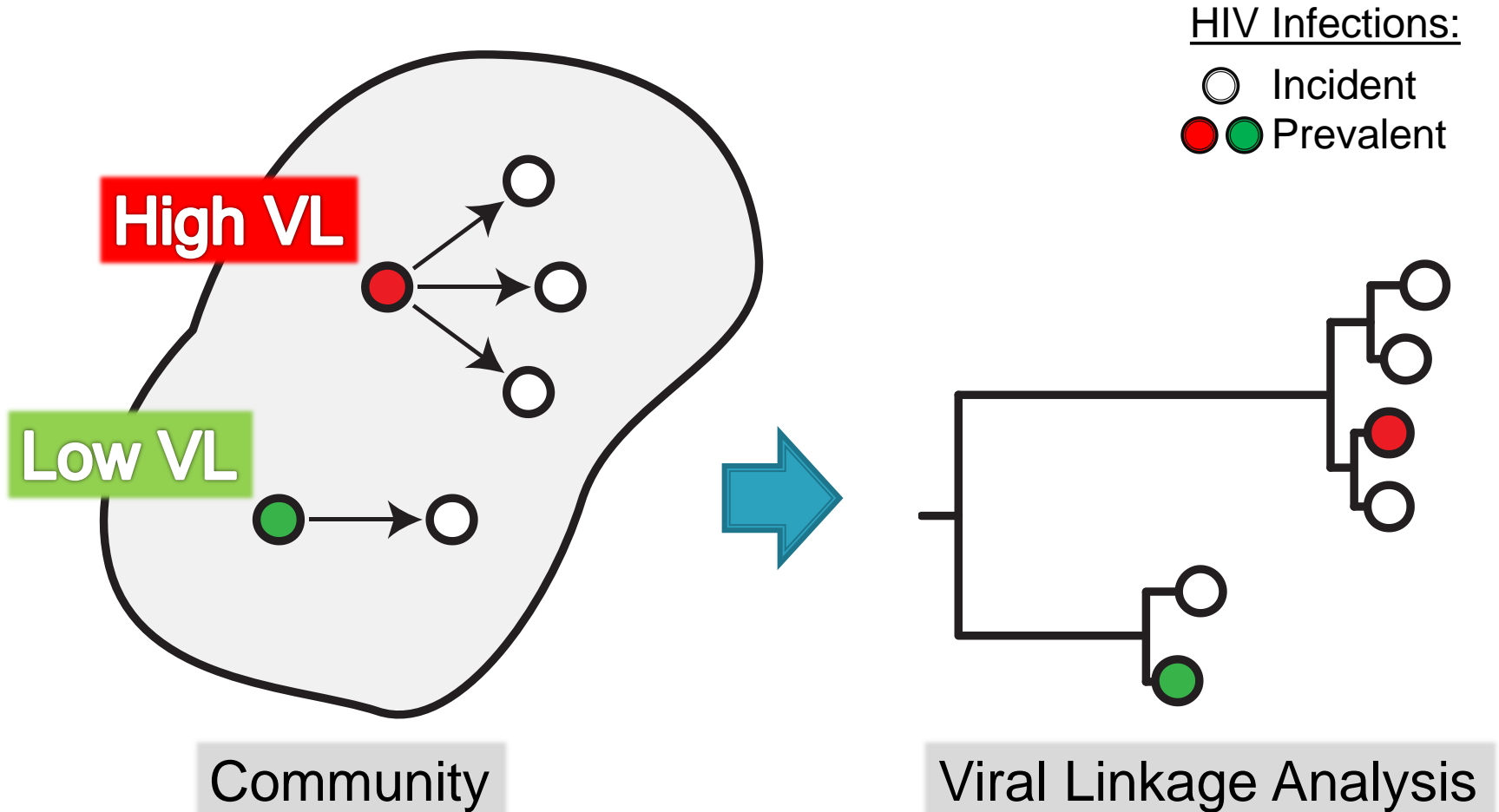




# HIV-1 Transmission: Viral Linkage



# HIV-1 Transmission: Viral Linkage



# Mochudi Prevention Project, Botswana

- HIV-1 **incidence** and prevalence
- Social, educational, and behavioral **risk factors**
- Uptakes of **VCT**, **condom use**, and **sMC**
- **Viral linkage** and clustering (in relation to high viral load)
- Uptake of **ART as prevention** ( $VL \geq 50,000$ )
- Develop mathematical **models** for control strategies

# Mochudi Prevention Project

## BOTSWANA



Mochudi: Large rural village, 44,000 people

# Mochudi Project: Viral linkage

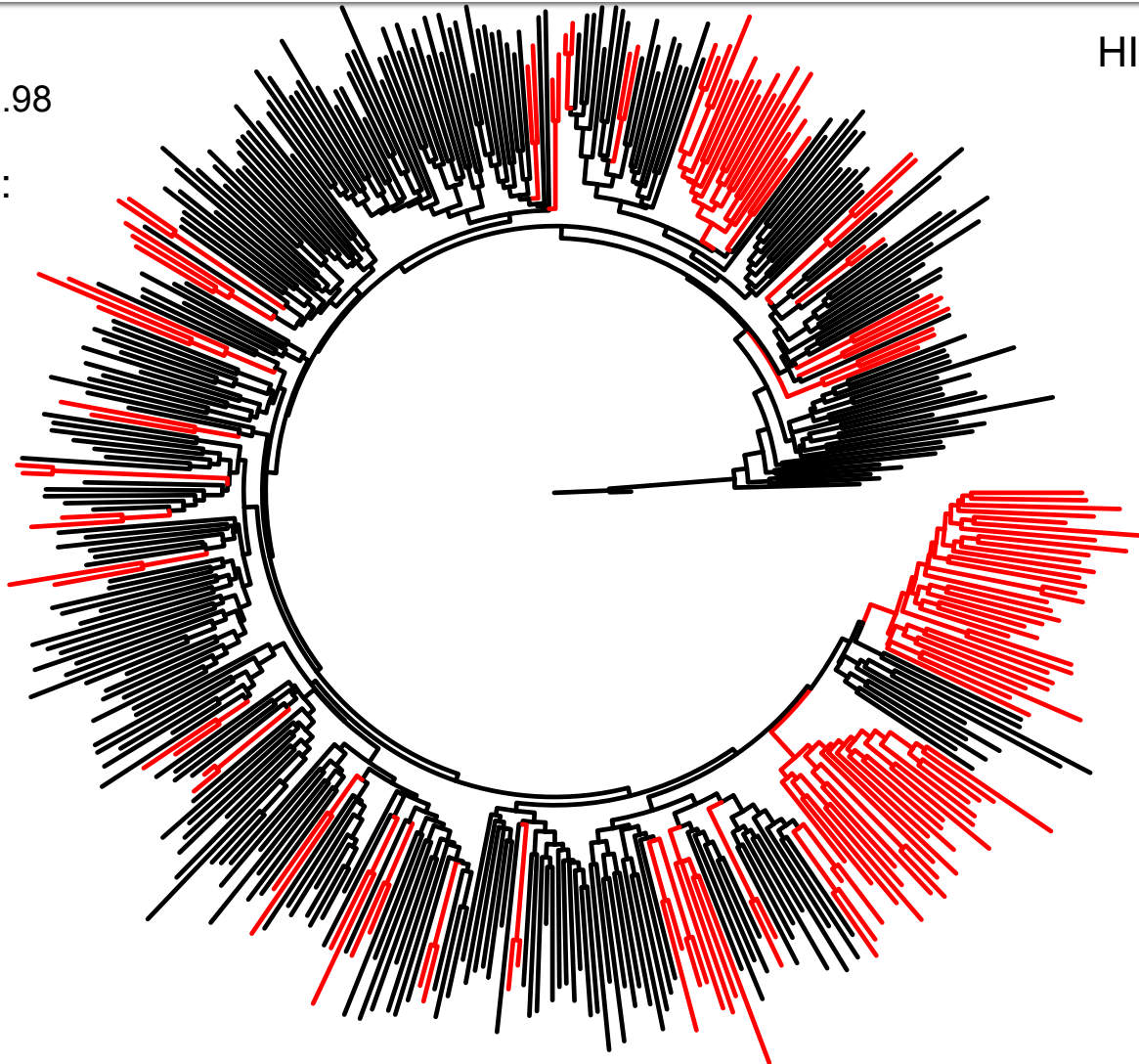
ML tree

— aLRT $\geq 0.98$

jModelTest:

TrN+G+I

PhyML



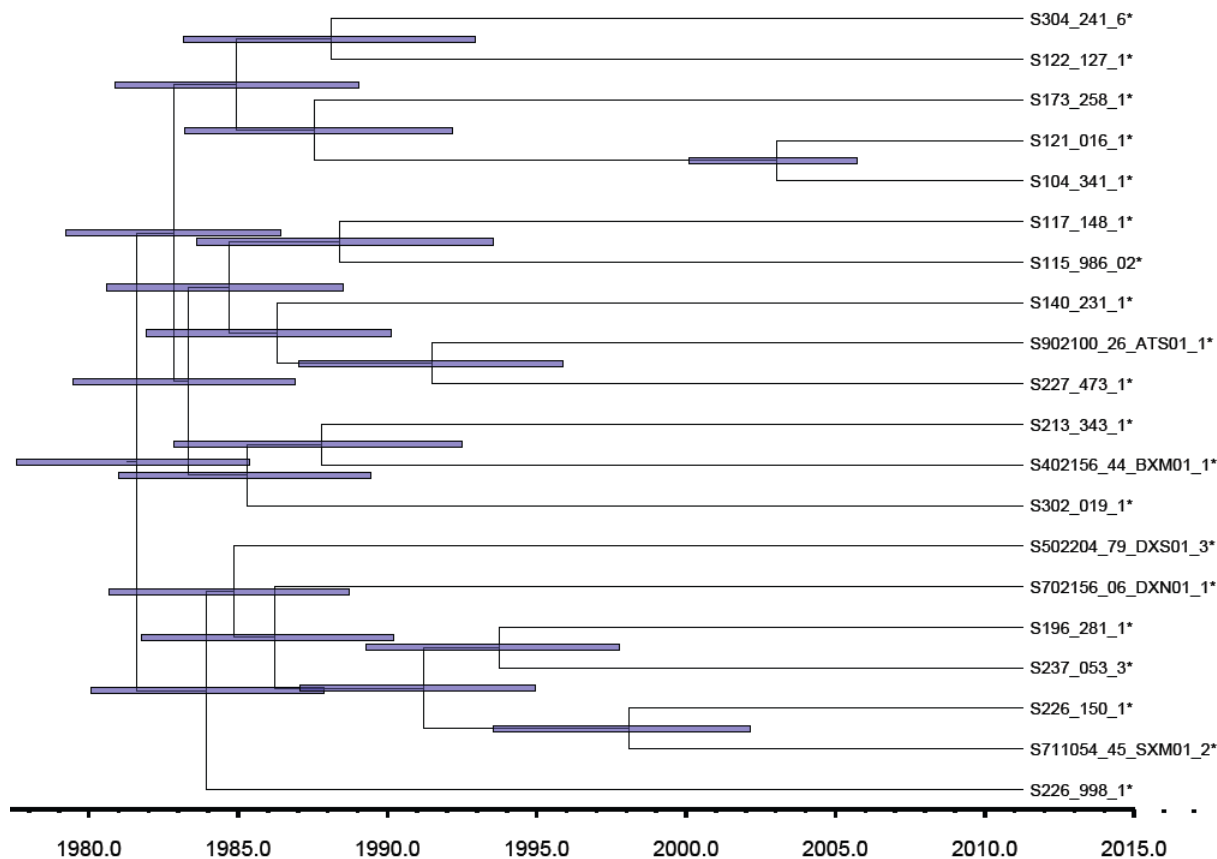
HIV-1C *env* sequences  
431 individuals  
(021M)

32 clusters:  
33.9%  
(146 of 431 sequences)

Seqs per cluster	Clusters, n
2	23
3	4
4	1
13	1
18	1
22	1
31	1

# Mochudi Project: HIV-1C clusters

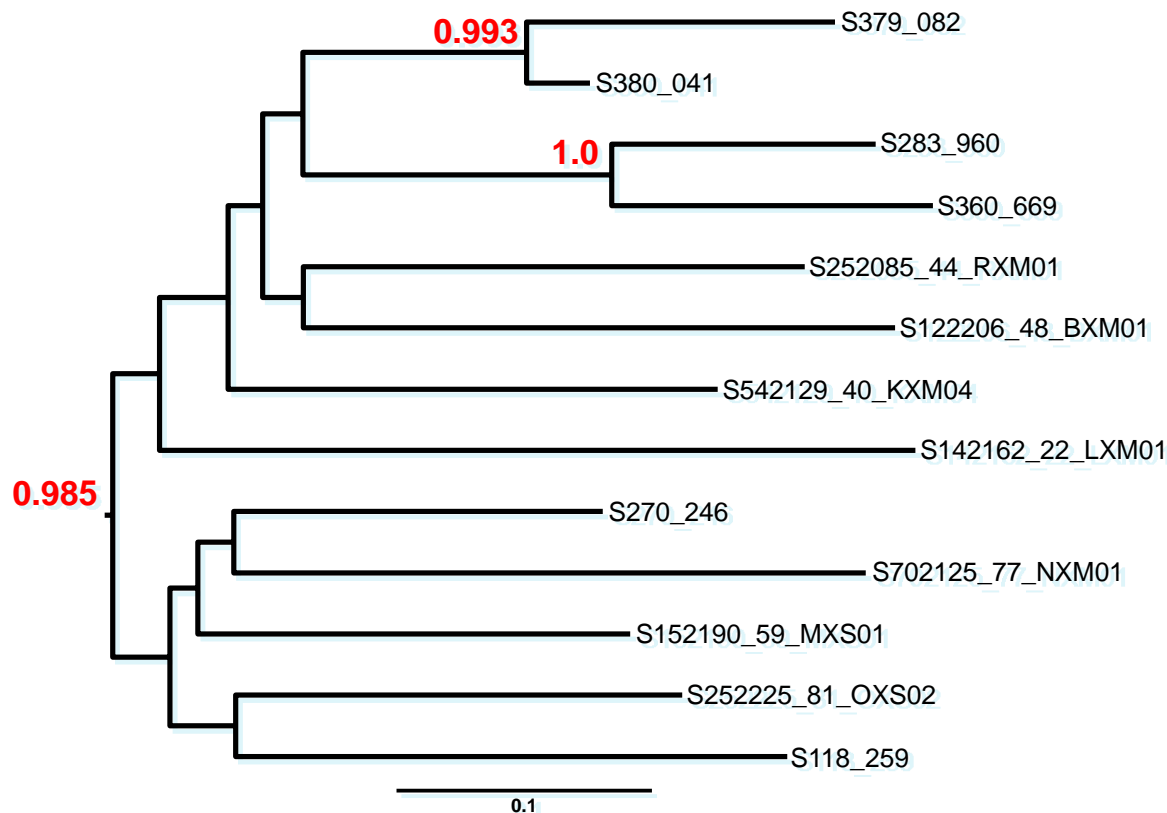
Cluster 02: 20 subjects (prevalent cases)



Method	Model	Reliability of the cluster
ML	PhyML jMT	<b>0.983</b> (aLRT)
ML	FastTree 2, GTR+G	<b>0.996</b> (Shimodaira-Hasegawa)
NJ	FastTree 2, noML	<b>0.953</b> (Shimodaira-Hasegawa)
Bayesian	Mr. Bayes, GTR+G, 20M chain	<b>0.999</b> (clade credibility value)

# Mochudi Project: HIV-1C clusters

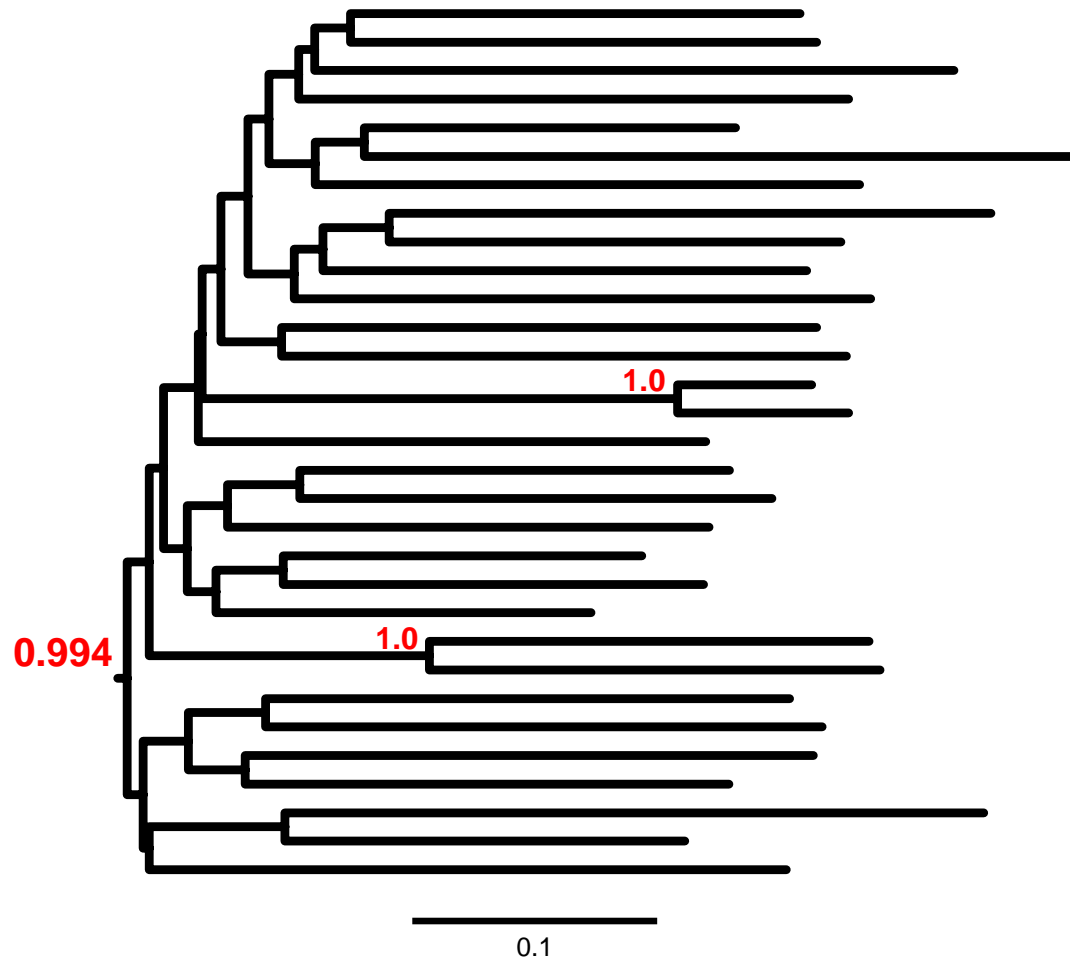
Cluster 13: 13 subjects (prevalent cases)



Method	Model	Reliability of the cluster
ML	PhyML jMT	<b>0.985</b> (aLRT)
ML	FastTree 2, GTR+G	<b>0.951</b> (Shimodaira-Hasegawa)
NJ	FastTree 2, noML	<b>0.988</b> (Shimodaira-Hasegawa)

# Mochudi Project: HIV-1C clusters

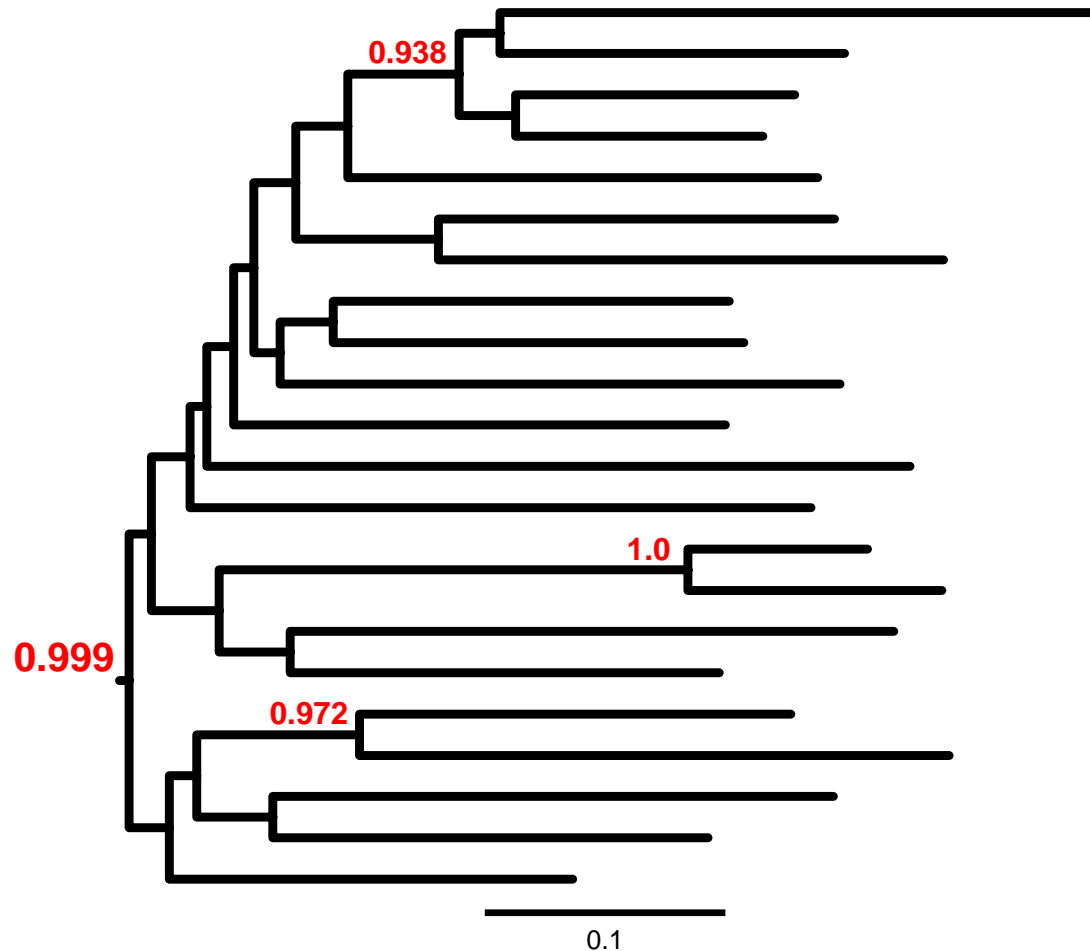
Cluster 15: 31 subjects (prevalent cases)





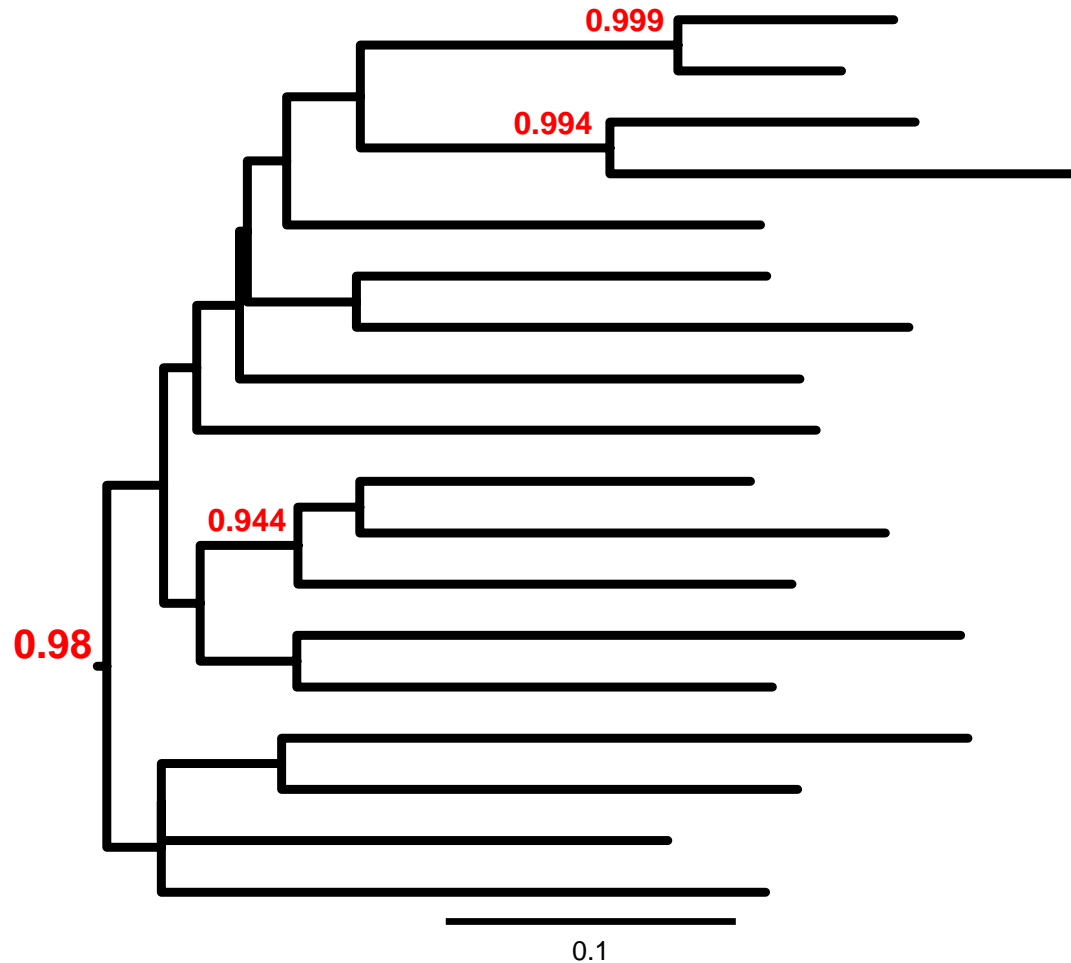
# Mochudi Project: HIV-1C clusters

Cluster 16: 22 subjects (prevalent cases)



# Mochudi Project: HIV-1C clusters

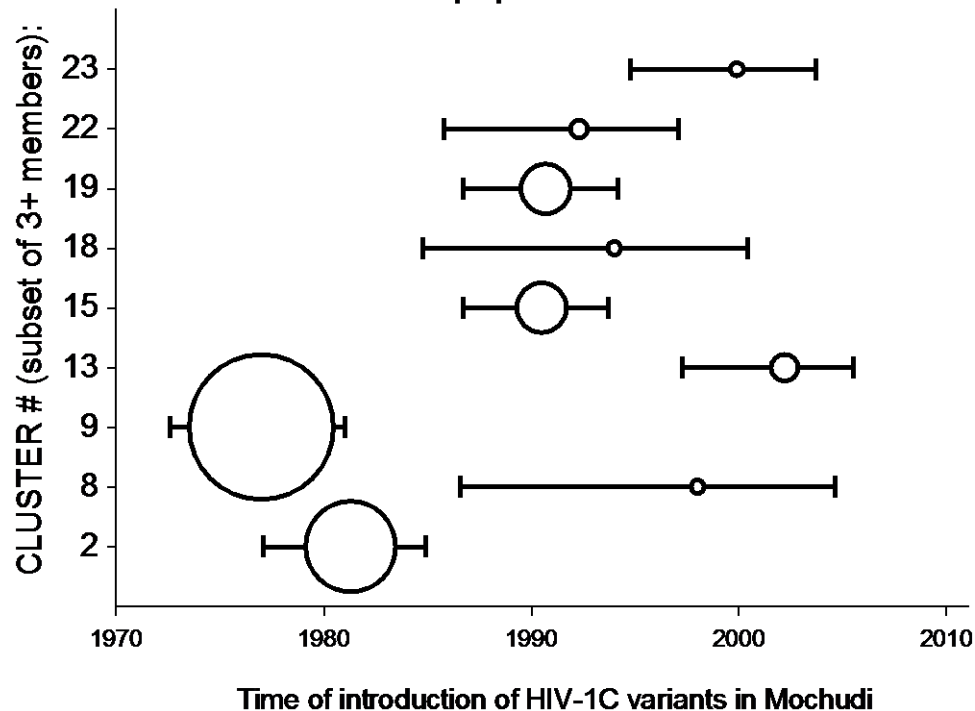
Cluster 25: 18 subjects (prevalent cases)



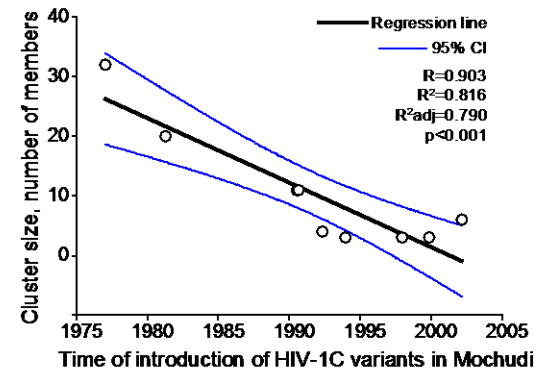
# Mochudi Project: HIV-1C clusters

## ESTIMATED TIME OF HIV-1C VARIANTS INTRODUCTION

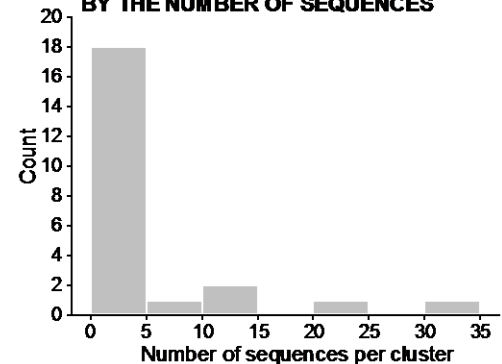
geometric mean (circle) with 95% lower and upper HPD  
the size of circle is proportional to the cluster size



## ASSOCIATION BETWEEN CLUSTER SIZE AND TIME OF HIV-1C VARIANT INTRODUCTION

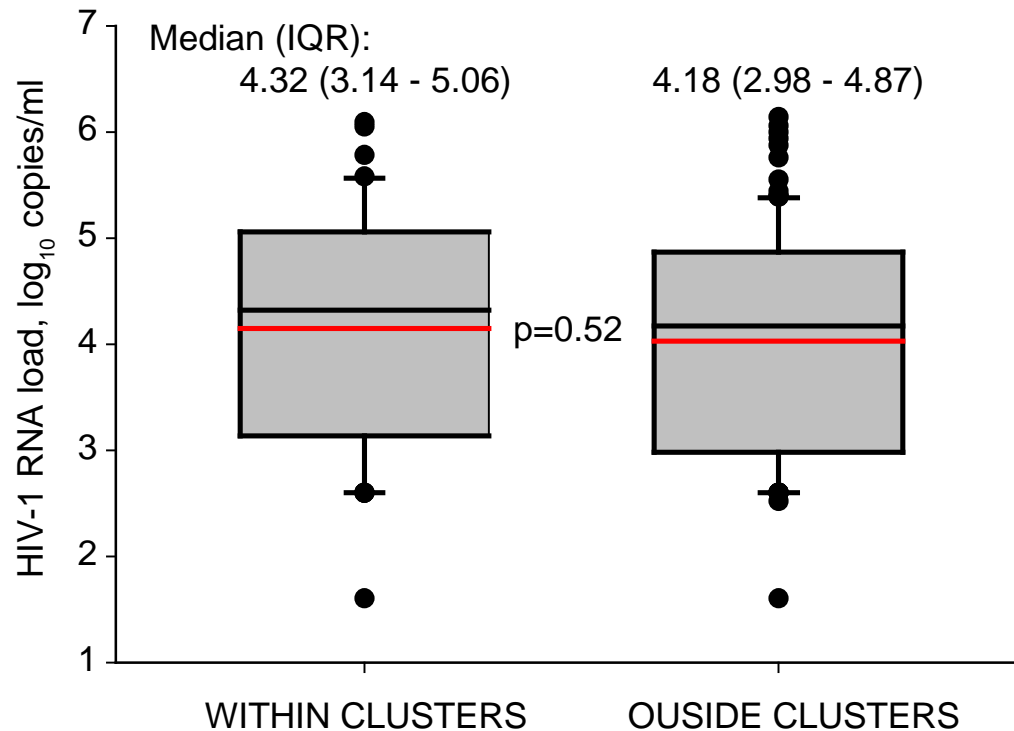


## DISTRIBUTION OF CLUSTERS BY THE NUMBER OF SEQUENCES



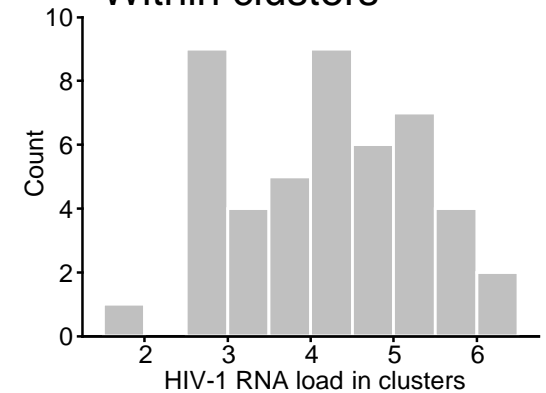
# Mochudi Project: HIV-1C clusters

HIV-1 RNA load within and outside clusters  
(ART naïve subjects)

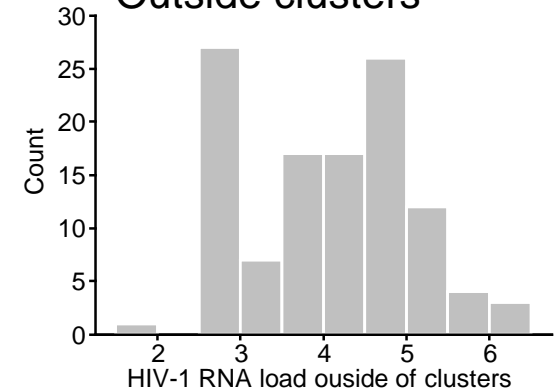


Distribution of HIV-1 RNA

Within clusters



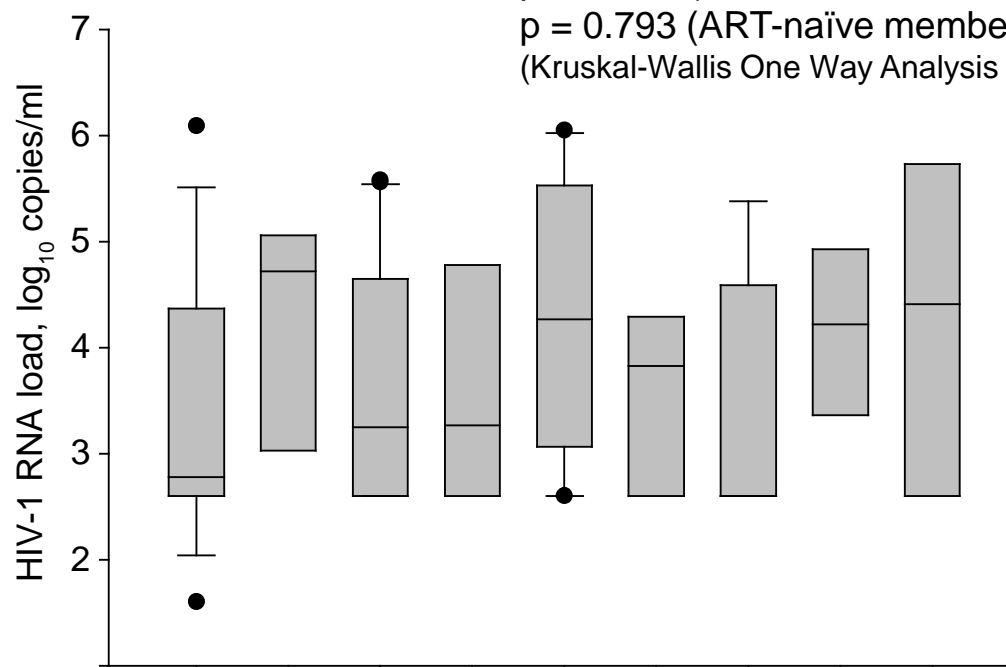
Outside clusters



# Mochudi Project: HIV-1C clusters

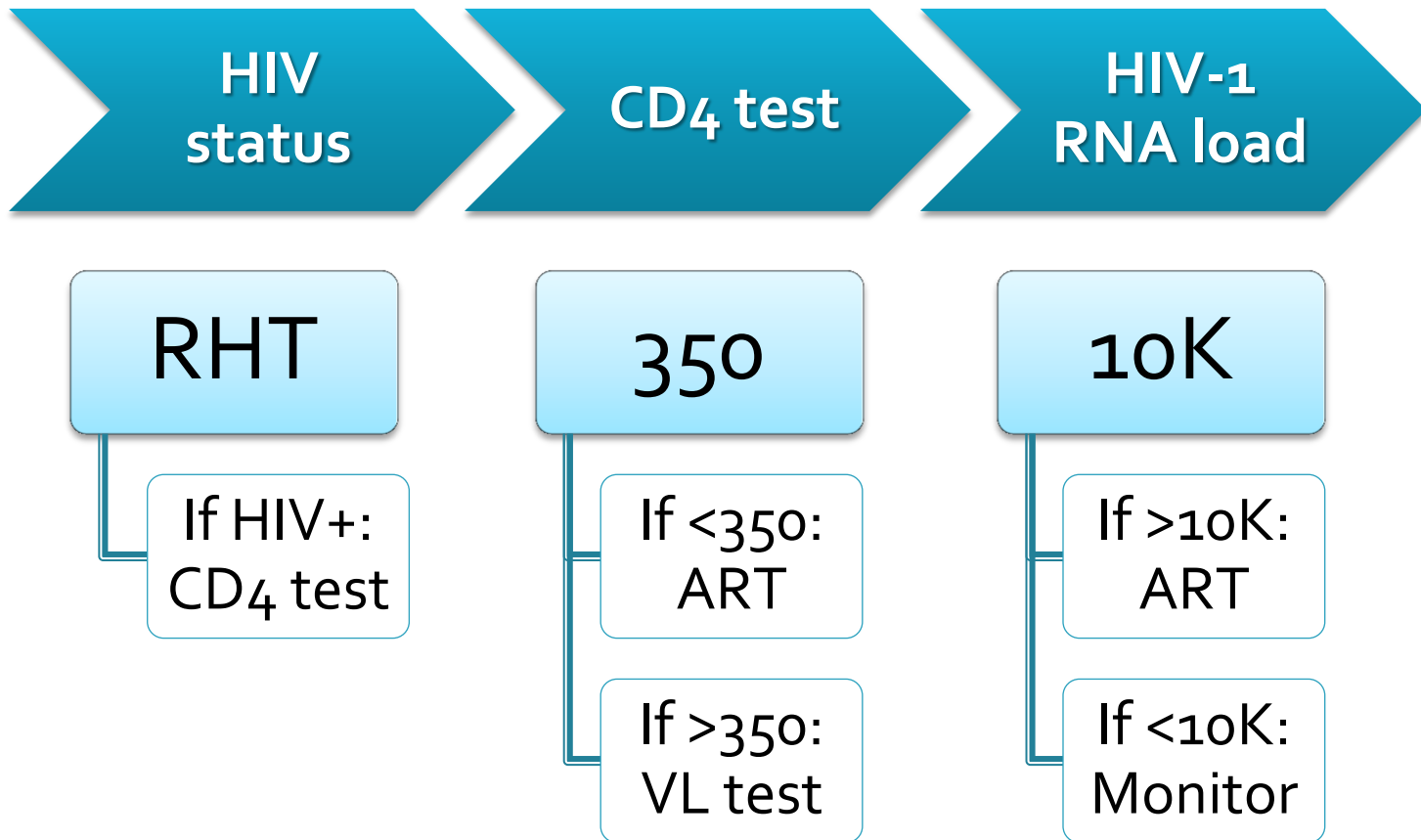
## HIV-1 RNA load within clusters

$p = 0.498$  (All members in clusters)  
 $p = 0.793$  (ART-naïve members only)  
(Kruskal-Wallis One Way Analysis of Variance on Ranks)

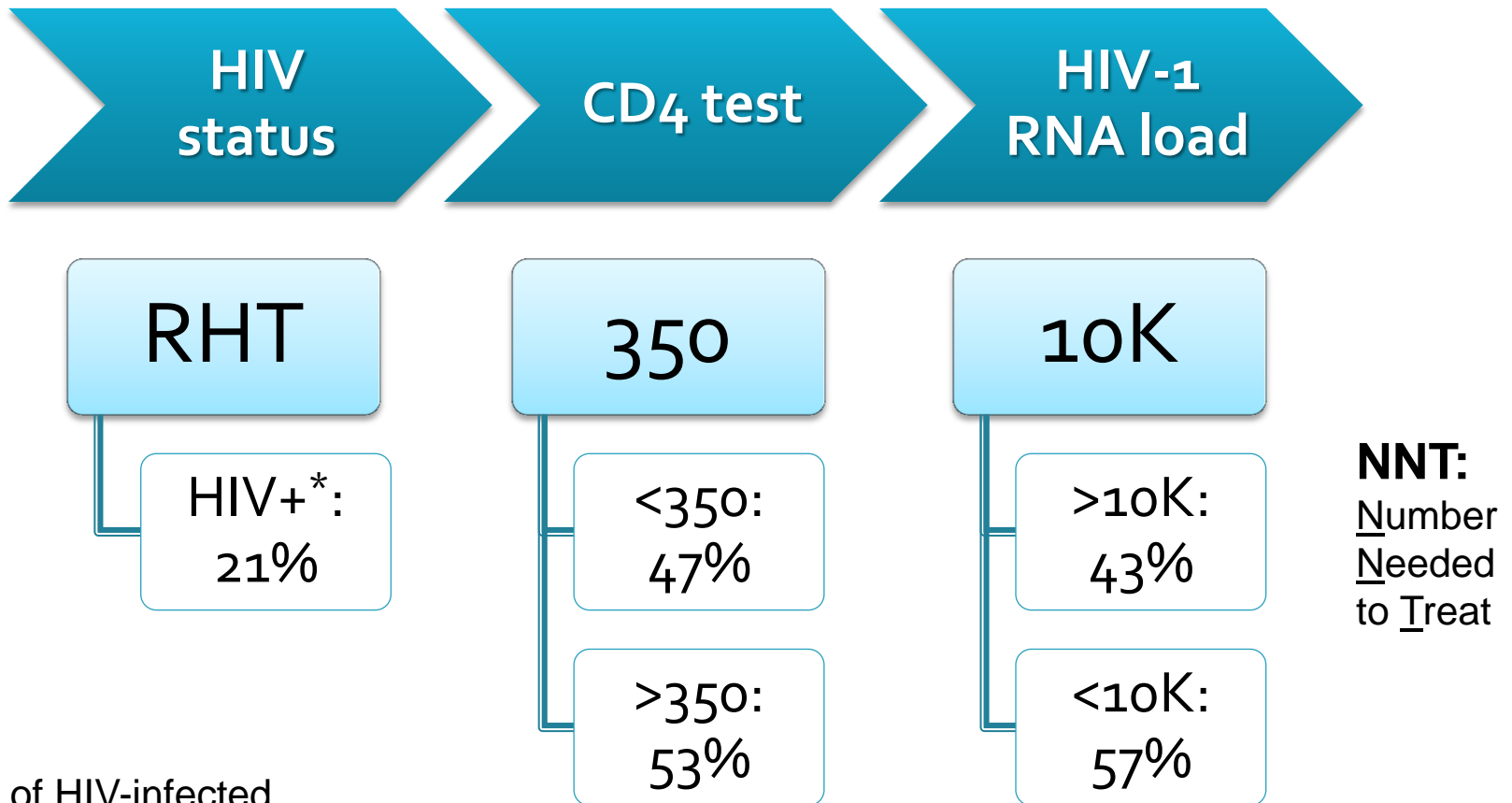


CLUSTER NUMBER:	2	8	9	13	15	18	19	22	23
MEMBERS, n:	20	3	32	6	11	3	11	4	3
PROPORTION ON ART, %:	18%	0	32%	25%	30%	33%	44%	0	67%

# HTC & Linkage to Care: Screening Algorithm



# HTC & Linkage to Care: Screening Algorithm



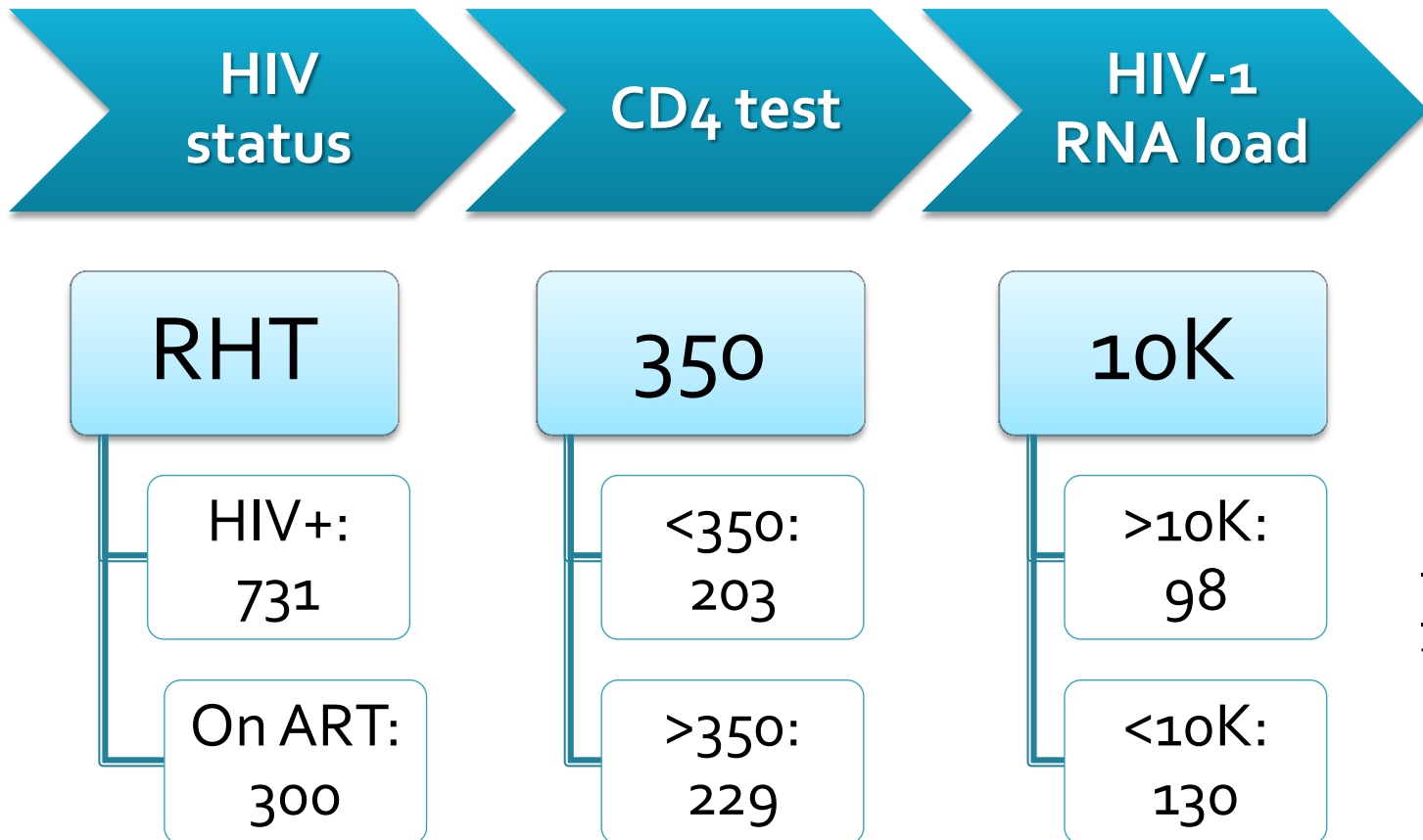
\* 41% of HIV-infected individuals are on ART

# HTC & Linkage to Care: Screening Algorithm

## HYPOTHETICAL EXAMPLE

Average community:

- total population ~6,000
- age eligible for HTC (16–64 y.o.) 3,480



**NNT:**  
Number  
Needed  
to Treat



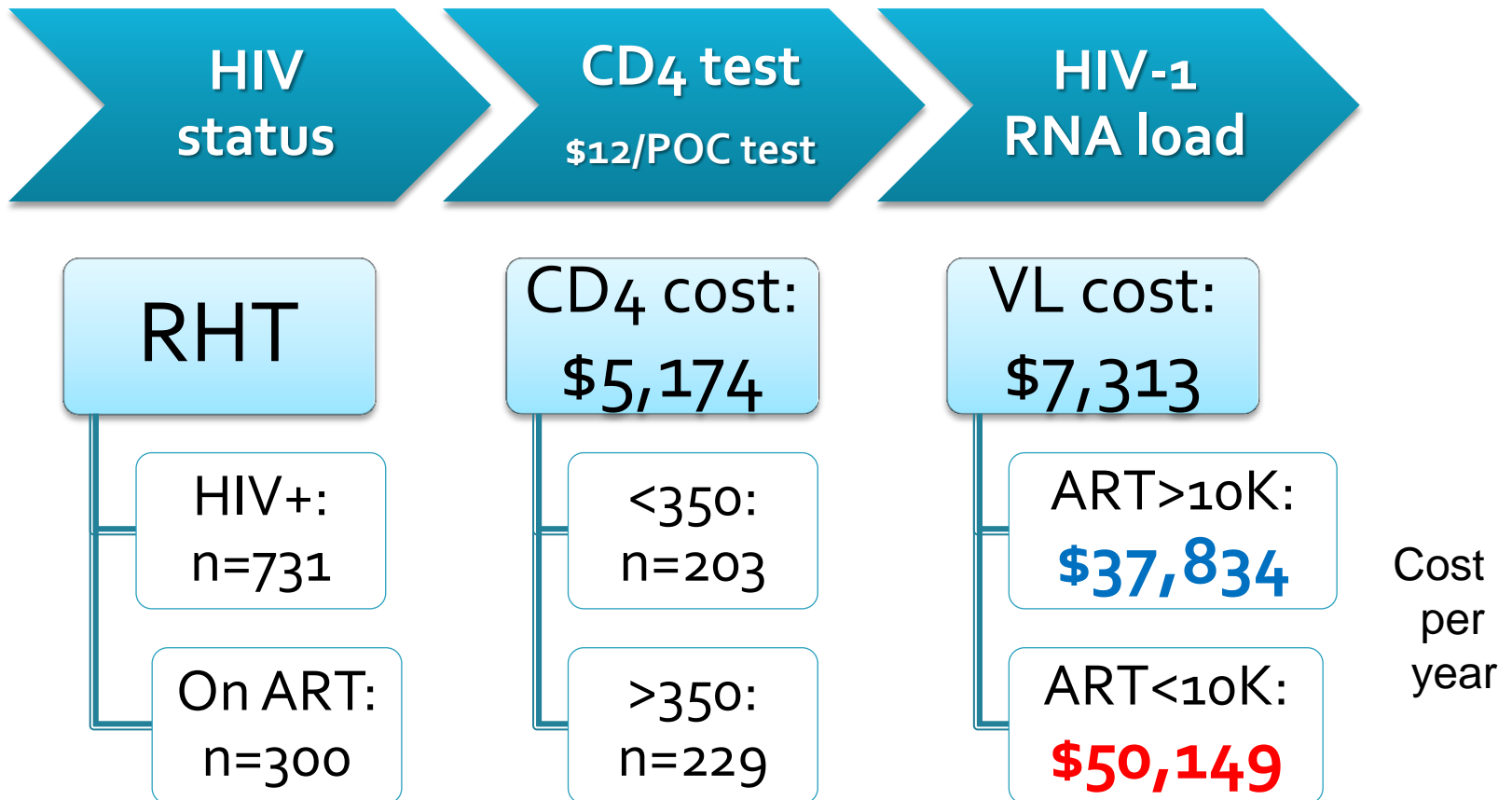
# HTC & Linkage to Care: Screening Algorithm

## HYPOTHETICAL EXAMPLE: ESTIMATED COST

Average community:

- total population ~6,000
- age eligible for HTC (16-64 y.o.) 3,480

POC CD4: \$12 / test  
Lab-based VL: \$32 / test  
ART cost, per year: \$385



# HTC & Linkage to Care: Screening Algorithm

## HYPOTHETICAL EXAMPLE: ESTIMATED COST COMPARISON

HIV-infected individuals with CD4 > 350:

### VIRAL LOAD-DRIVEN TASP

- Total cost: \$50,318
  - CD4 testing: \$5,174
  - VL testing: \$7,313
  - ART: \$37,662
- Savings per year: \$37,662
  - % Savings: 46%

### UNIVERSAL TASP

- Total cost: \$93,155
  - CD4 testing: \$ 5,174
  - VL testing: \$0
  - ART: \$87,981
- Savings per year: \$0
  - % Savings: 0

# Treatment as Prevention

---

- **Botswana Combination Prevention Project (BCPP)**

# Botswana Combination Prevention Project

PI: Max Essex

- Community-randomized TasP trial in Botswana.
- 30 communities, 15 pairs:
  - Arm A: control communities, standard-of-care, ART at CD4<350
  - Arm B: intervention communities.
- ART: in adults with high HIV-1 RNA load (>10K copies/ml) to prevent HIV transmissions.
- Viral linkage will be used to estimate source of HIV infection.
- Targeted population (16-64 y.o.): ~100K.
- Duration of the study: 4 years.

# Botswana Combination Prevention Project

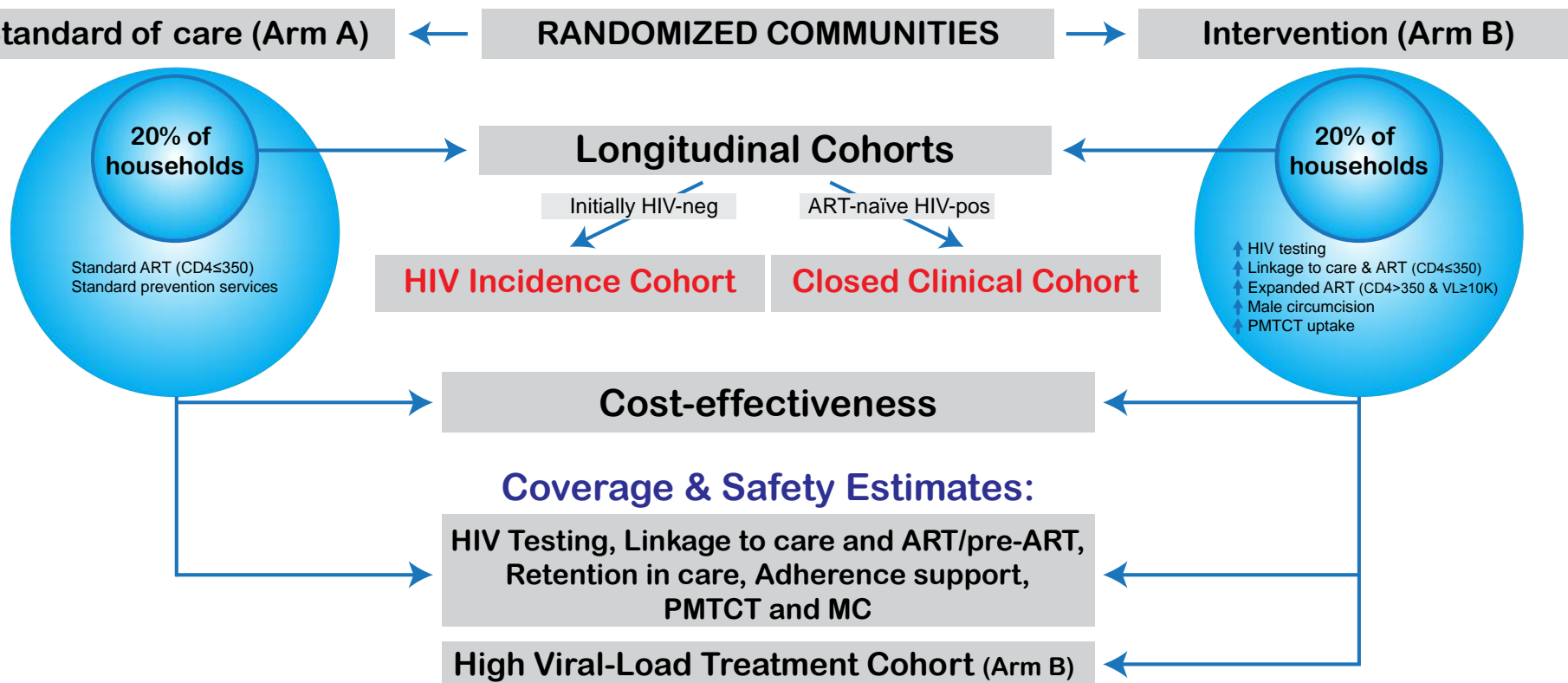
PI: Max Essex

## PRIMARY OBJECTIVES:

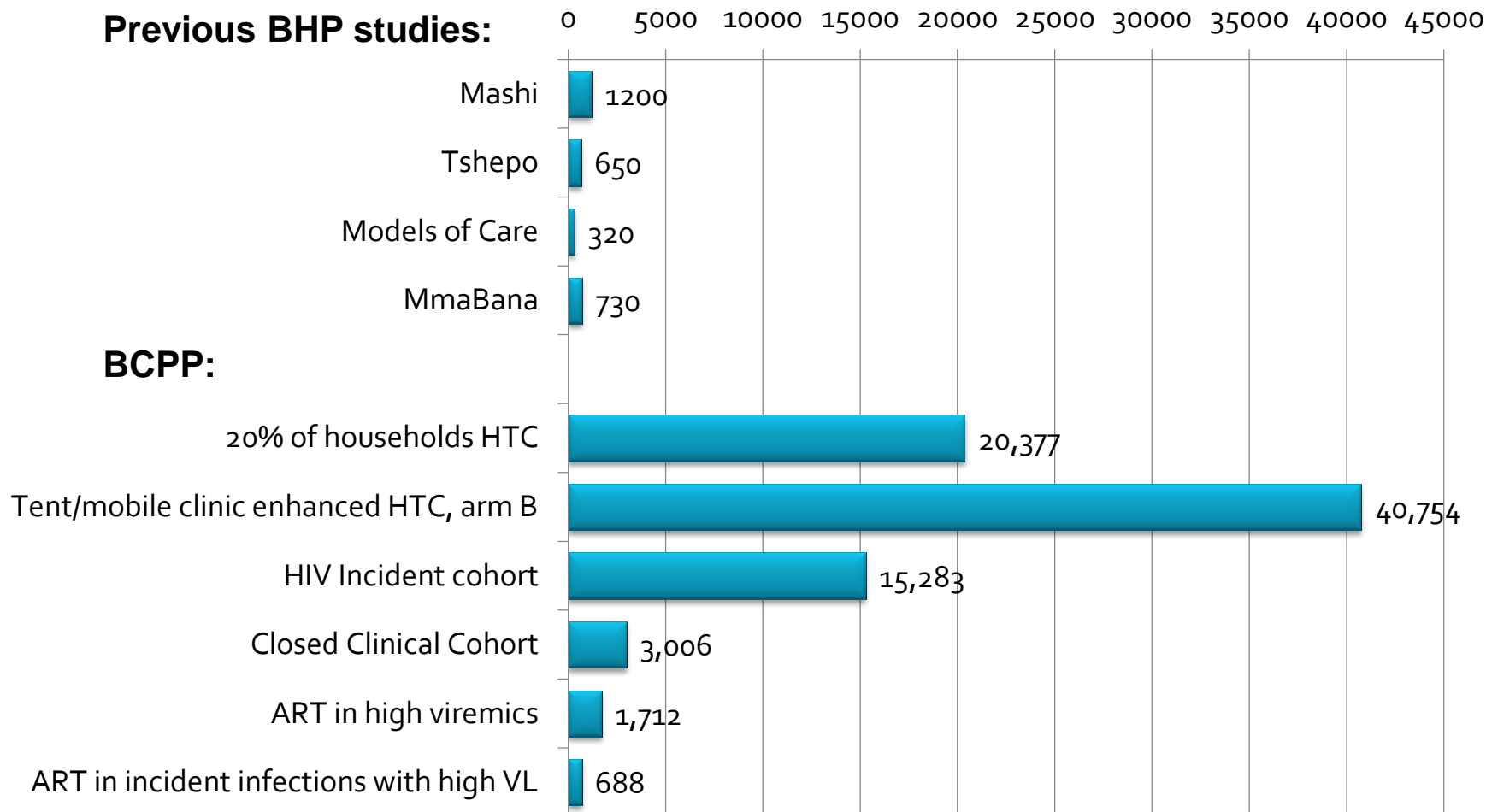
- To reduce cumulative HIV incidence in adults (16–64 years old) by:
  - Enhanced HIV testing and counseling (HTC);
  - Active linkage to HIV care and treatment;
  - Expanded MC services;
  - cART for adults with  $CD4 < 350/\mu L$  or WHO stage III/IV, or with HIV-1 RNA load  $\geq 10,000$  copies/ml (with viral linkage);
  - PMTCT:
    - early initiation of ART during pregnancy in HIV-positive women
    - retesting of HIV-negative women late in pregnancy
- To estimate the cost per infection averted

# Botswana Combination Prevention Project

PI: Max Essex



# BHP studies and BCPP



# Conclusions

- **HIV-1 viral linkage** and **HIV-1 RNA load** are important components of the **TasP** strategy.
- The major role of **viral linkage**:
  - to distinguish linked and unlinked cases
  - to determine the extent of mixing across communities.
- Monitoring of **HIV-1 RNA load** can:
  - re-focus the TasP strategy on the most likely transmitters
  - reduce the cost of the TasP approach over time.



## Review

### HIV Treatment as Prevention: Issues in Economic Evaluation

Till Bärnighausen<sup>1,2\*</sup>, Joshua A. Salomon<sup>1</sup>, Nalinee Sangrujee<sup>3</sup>

## Review

### HIV Treatment as Prevention: Considerations in the Design, Conduct, and Analysis of Cluster Randomized Controlled Trials of Combination HIV Prevention

Marie-Claude Boily<sup>1\*</sup>, Benoît Mâsse<sup>2</sup>, Ramzi Alsallaq<sup>3</sup>, Nancy S. Padian<sup>4,5</sup>, Jeffrey W. Eaton<sup>1</sup>, Juan F. Vesga<sup>1</sup>, Timothy B. Hallett<sup>1</sup>

## Review

### HIV Treatment as Prevention: Debate and Commentary—Will Early Infection Compromise Treatment-as-Prevention Strategies?

Myron S. Cohen<sup>1,2,3†</sup>, Christopher Dye<sup>4†</sup>, Christophe Fraser<sup>5†\*</sup>, William C. Miller<sup>2,3†</sup>, Kimberly A. Powers<sup>2,3†\*</sup>, Brian G. Williams<sup>6†\*</sup>

## Review

### HIV Treatment as Prevention: Optimising the Impact of Expanded HIV Treatment Programmes

Wim Delva<sup>1,2\*</sup>, Jeffrey W. Eaton<sup>3</sup>, Fei Meng<sup>2,4</sup>, Christophe Fraser<sup>3</sup>, Richard G. White<sup>5</sup>, Peter Vickerman<sup>6</sup>, Marie-Claude Boily<sup>3</sup>, Timothy B. Hallett<sup>3</sup>

## Review

### HIV Treatment as Prevention: Principles of Good HIV Epidemiology Modelling for Public Health Decision-Making in All Modes of Prevention and Evaluation

Wim Delva<sup>1</sup>, David P. Wilson<sup>2</sup>, Laith Abu-Raddad<sup>3,4,5</sup>, Marelize Gorgens<sup>6</sup>, David Wilson<sup>6</sup>, Timothy B. Hallett<sup>7</sup>, Alex Welte<sup>1\*</sup>

## Review

### HIV Treatment as Prevention: Modelling the Cost of Antiretroviral Treatment—State of the Art and Future Directions

Gesine Meyer-Rath<sup>1,2\*</sup>, Mead Over<sup>3</sup>

## Review

### HIV Treatment as Prevention: The Utility and Limitations of Ecological Observation

M. Kumi Smith<sup>1</sup>, Kimberly A. Powers<sup>1,2</sup>, Kathryn E. Muessig<sup>2</sup>, William C. Miller<sup>1,2</sup>, Myron S. Cohen<sup>1,2,3\*</sup>

## Review

### HIV Treatment as Prevention: Models, Data, and Questions—Towards Evidence-Based Decision-Making

The HIV Modelling Consortium Treatment as Prevention Editorial Writing Group\*

## Review

### HIV Treatment as Prevention: Natural Experiments Highlight Limits of Antiretroviral Treatment as HIV Prevention

David P. Wilson\*



# Mochudi Prevention Project: Study Team





# Mochudi Prevention Project: Home-Based Counselors & Recruiters



# Acknowledgements

## Study participants



## BHP team, Gaborone & Mochudi

Hermann Bussmann  
Lillian Okui  
Drew Logan  
Sarah Masole & counselors  
Sikhulile Moyo & BHHRL  
Rosemary Musonda  
Erik Widenfelt & DMC  
Unity Dow  
Tendani Gaolathe  
Scott Dryden-Peterson  
Simani Gaseitsiwe  
Mompoti Mmalane  
Joe Makhema



## HSPH team, Boston

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Ric Marlink  
Roger Shapiro  
Molly Pretorius Holme  
Mary Fran McLane  
Lauren Margolin  
Lauren Buck  
Rebeca Plank  
Rui Wang  
Victor DeGruttola & his group  
Max Essex



National Institute of Allergy and Infectious Diseases  
Leading research to understand, treat, and prevent infectious, immunologic, and allergic diseases.

R01 AI083036  
R01 AI057027  
R37 AI24643  
D43 TW000004



**FICRS-F**  
Fogarty International Clinical  
Research Scholars & Fellows  
Support Center @ Vanderbilt